

## Review

# Evaluation of Polymer Nanoformulations in Hepatoma Therapy by Established Rodent Models

Qilong Wang<sup>1,2</sup>, Ping Zhang<sup>1,✉</sup>, Zhongmin Li<sup>2,5,✉</sup>, Xiangru Feng<sup>2,4</sup>, Chengyue Lv<sup>2,4</sup>, Huaiyu Zhang<sup>2,5</sup>, Haihua Xiao<sup>3</sup>, Jianxun Ding<sup>2,4,✉</sup>, Xuesi Chen<sup>2,4</sup>

1. Department of Hepatobiliary and Pancreatic Surgery, The First Hospital of Jilin University, Changchun 130021, P. R. China
2. Key Laboratory of Polymer Ecomaterials, Changchun Institute of Applied Chemistry, Chinese Academy of Sciences, Changchun 130022, P. R. China
3. Beijing National Laboratory for Molecular Sciences, State Key Laboratory of Polymer Physics and Chemistry, Institute of Chemistry, Chinese Academy of Sciences, Beijing 100190, P. R. China
4. Jilin Biomedical Polymers Engineering Laboratory, Changchun 130022, P. R. China
5. Department of Gastrointestinal Colorectal and Anal Surgery, China-Japan Union Hospital of Jilin University, Changchun 130033, P. R. China

✉ Corresponding authors: Ping Zhang (z\_ping@jlu.edu.cn), Zhongmin Li (lizhongmin1211@126.com), Jianxun Ding (jxding@ciac.ac.cn)

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Received: 2018.11.21; Accepted: 2019.01.08; Published: 2019.02.20

## Abstract

Hepatoma is one of the most severe malignancies usually with poor prognosis, and many patients are insensitive to the existing therapeutic agents, including the drugs for chemotherapy and molecular targeted therapy. Currently, researchers are committed to developing the advanced formulations with controlled drug delivery to improve the efficacy of hepatoma therapy. Numerous inoculated, induced, and genetically engineered hepatoma rodent models are now available for formulation screening. However, animal models of hepatoma cannot accurately represent human hepatoma in terms of histological characteristics, metastatic pathways, and post-treatment responses. Therefore, advanced animal hepatoma models with comparable pathogenesis and pathological features are in urgent need in the further studies. Moreover, the development of nanomedicines has renewed hope for chemotherapy and molecular targeted therapy of advanced hepatoma. As one kind of advanced formulations, the polymer-based nanoformulated drugs have many advantages over the traditional ones, such as improved tumor selectivity and treatment efficacy, and reduced systemic side effects. In this article, the construction of rodent hepatoma model and much information about the current development of polymer nanomedicines were reviewed in order to provide a basis for the development of advanced formulations with clinical therapeutic potential for hepatoma.

Key words: hepatoma, rodent model, polymer nanoparticle, drug delivery, chemotherapy, molecular targeted therapy

## Introduction

Hepatoma ranks fifth in the incidence of solid tumors worldwide with a mortality rate ranking fourth among all cancer subtypes. Even if hepatoma can be surgically resected, the 5-year overall survival (OS) rate is only 30% – 40%, and the 2-year recurrence rate is as high as 50% [1]. Recently, chemotherapy is not recommended for the therapy of advanced hepatoma in many countries because of the poor efficacies of cytotoxic drugs, and molecular targeted drugs rank first-line and second-line treatment

modalities in the clinic [2, 3]. However, the outcomes of molecular targeted therapy in some clinical trials were not satisfactory. With the recent progress in emerging polymer nanomedicines, this situation is expected to change. The nanoformulated polymer drugs are usually prepared from natural or synthetic polymer materials with conventional chemical methods [4]. The chemotherapeutic agents and the molecular targeted drugs are loaded inside or conjugated on the surface of the nanoparticles using

encapsulation, intercalation, adsorption, polymerization, condensation or coupling reactions [5]. As a drug delivery system, nanoparticles typically have excellent biocompatibility [6, 7], stable physical and chemical properties [8, 9], surface modifications [10, 11], and reticuloendothelial system (RES) escape [12]. In addition, some nanoformulations exhibit unique optical, thermal, mechanical, or magnetic properties to facilitate controlled drug release, making them more effective for cancer chemotherapy [13]. With these apparent advantages, nanoscale drug delivery systems have become a hotspot of targeted chemotherapy and molecular targeted therapy for various malignancies [14], including hepatoma.

Nanoparticles with specific advantages, such as adjustable size and transformable surface, prolong the blood circulation and improve the intratumoral accumulation of antineoplastic agents [15]. However, in most cases, after intravenous administration, nanoparticles in the blood are coated with plasma proteins, glycoproteins, or other components, and then consumed by the mononuclear macrophage system (MPS) [16], specifically those that gather in the macrophage-rich organs, including the liver, spleen, and bone marrow [17]. In addition, the antineoplastic drugs lacking specific affinity are widely distributed in the body, which may cause damage to normal tissues. These side effects are intolerable and mostly limit the clinical efficacy of antineoplastic drugs. Fortunately, these problems can be readily solved to some extent by the application of targeted nanoparticles, either by passive or by active targeting of tumor cells [18]. Passively targeted nanoparticles can increase the concentration in target tumors by changing the surface charges, chemical groups, hydrophilicity (or hydrophobicity), and other physicochemical factors while reducing their accumulation in normal tissues. The surface modifiers are commonly used for passive targeting include poly(ethylene glycol) (PEG) and polysaccharide. Active targeting refers to modifying the surface of nanoparticles with targeted molecules or antibodies to make them highly selective and specific to tumors. Glycyrrhetic acid [19], lactobionic acid [20], anti-CD147 antibody [21], anti-GPC3 antibody [22], and other ligands have been used to enhance the targeting of nanoparticles to hepatoma cells. As shown in Figure 1, researches on the treatment of hepatoma with polymer nanoformulations have increased dramatically in recent years. The development of nanoscale drug delivery systems has opened up an effective way to treat hepatoma.

For the screening of polymer nanoformulations, the critical step is to construct ideal animal models of hepatoma with similar pathological characteristics to

clinical tumor tissues. With the help of animal models, it is effective to explore the mechanisms of pathogenesis and progression of hepatoma, as well as the development of various drug formulations. The selections of hepatoma animal models mainly depend on the purposes of studies. At present, there are various animal hepatoma models constructed by different approaches, including subcutaneously and orthotopically inoculated hepatoma models, chemically and virally induced hepatoma models, and genetically engineered hepatoma models. The most common type of human hepatoma is hepatocellular carcinoma (HCC), which accounts for 70% – 85% of primary hepatoma [23]. Due to the high morbidity and high mortality of HCC, animal models of hepatoma are mainly used to study HCC. Rodents are the most commonly used HCC models because of their small size, similarity to the human genome, and similar pathogenesis.

In this article, we make a comprehensive review on the construction of rodent hepatoma models and the development of polymer nanomedicine for enhancing hepatoma chemotherapy and molecular targeted therapy, and predict their future directions (Scheme 1).

## Rodent models of hepatoma

### Implantation models of hepatoma

Implantation models are currently widely used for hepatoma formation in mice in most cases. The xenogeneic and allogeneic hepatoma cells or tumor tissues are implanted into immunocompromised recipient mice by ectopic or orthotopic transplantation. Ectopic transplantation of tumor cells or tissues is usually performed subcutaneously. For tissue implantation, the fragments with size at approximately 1.0 mm<sup>3</sup> are obtained from mouse subcutaneous liver tumor or human surgical hepatoma specimens [23]. In this section, we mainly focus our discussion on the subcutaneous and orthotopic liver transplantation models.

### Subcutaneously inoculated models of hepatoma

Among various types of hepatoma models, the inoculated models established by subcutaneous injection of hepatoma cells are most commonly used [24]. These subcutaneously inoculated hepatoma models maintain the significant characteristics of primary tumors, such as tumor microenvironment, morphology, metastatic potential, and reaction to antitumor agents. Moreover, this kind of model is easy to reproduce and suitable for the evaluation of antitumor activity, but the tumors often grow locally without distant metastasis, which is different from primary tumors [25].

The subcutaneous environments of mice provide appropriate “soil” for the tumors, so they can grow and interact with the host. The subcutaneous models might differ in various factors including tumor type, origin, and the anatomical site [26]. Specifically, the anatomical site of the tumor plays a vital role in determining whether the tumor develops as a discrete nodule or disseminates at the beginning. The establishment of hepatoma models has remarkable utility in the basic and preclinical researches [27]. If more severe immunosuppression is needed to increase the chance of engraftment, SCID, NOD/SCID, and CB17 mice are used [28].

However, it is noteworthy that the physiological interaction between the tumor and the inoculated model is insufficient, partly because the damaged immune system does not allow the tumor to use the escape mechanisms that exist in humans. The tumor microenvironment is closely related to the occurrence and development of malignant tumors. The interaction between tumor cells and liver-specific factors, including endothelial cells, fibroblasts, and inflammatory cells, etc., is very important for the progression of hepatoma. This interaction is absent in the subcutaneous transplantation model, so spontaneous distant metastasis does not occur. In the xenograft models, the histological appearance of human tumors can be well preserved [29]. Therefore, the method of subcutaneous implantation of hepatoma in rodents is not sufficient.

### Orthotopically implanted models of hepatoma

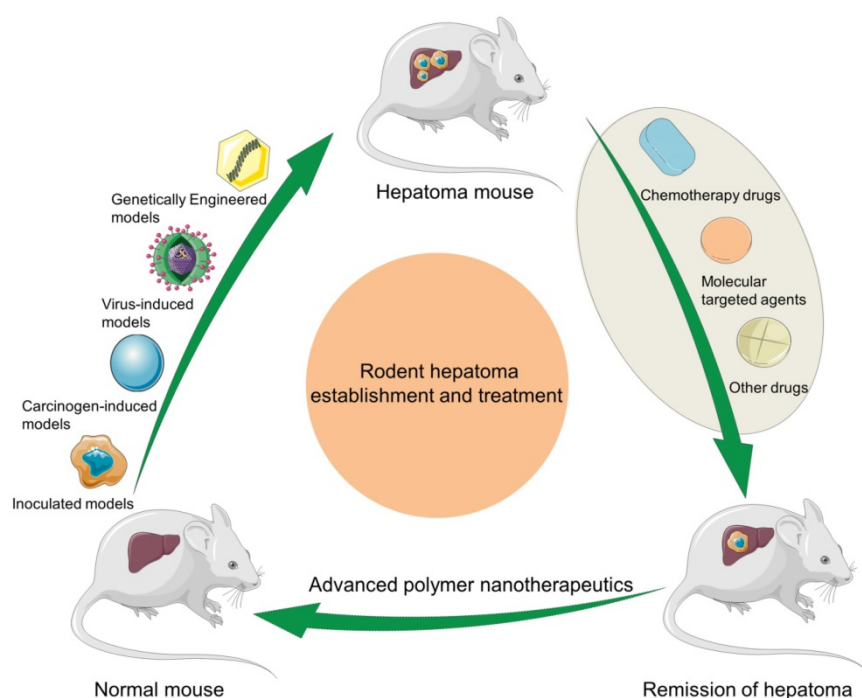
The surgical orthotopically inoculated hepatoma models are the most clinically relevant because they mimic human hepatomas in terms of the tumor microenvironment, morphology, metastatic potential, and the reaction to antitumor agents [30, 31]. Moreover, the pathological processes, including local invasion and angiogenesis, can be tested in the normal microenvironment. However, orthotopic implantation of hepatoma grafts exhibits some disadvantages, such as high cost and difficult surgical inoculation procedure. Furthermore, the growth and response of tumors cannot be detected as easily as in ectopic transplantation models. Currently, immunocompromised mice are used to inoculate human liver cell lines or tissue masses. Athymic nude mice are the most widely used recipients, and they show a functional deficiency in T and B cells [32].

Among various methods delivering cancer cells to the liver, the most effective way is through the hepatic artery, as it allows cells to be infused directly into the artery bed and provides ample blood supply for the tumor growth [33]. Injecting hepatoma cells into the liver results in the progressive growth of tumor cells in the immunodeficient rodents [34]. The orthotopic hepatoma models require surgical methods to implant tumor cells into the liver and require serum biomarkers and sophisticated imaging technologies to monitor the progression of tumors [35]. The studies using orthotopic models provide

more credible data to the clinicians because tumors grow in their native environments in such models [36]. The positive findings of studies using orthotopic models promote the development of treatments in the clinical trials. Therefore, the subcutaneous tumor models are mainly used to evaluate the antitumor effect of new drugs. In contrast, the orthotopic transplantation models are used to study the mechanism of distant metastasis of hepatoma, the interaction between tumor and host, and the effect of immunotherapy.

### Chemically induced hepatoma models

It has been recognized that many chemical reagents are associated with the occurrence of hepatoma. The mechanism of human hepatoma caused by chemical agents has been researched in many



**Scheme 1.** Schematic illustration of rodent hepatoma models of various types and therapy using different polymer-based nanoplatforms.

epidemiological studies [37]. Although some of these chemical agents have carcinogenic effects on the mouse, they generally do not cause hepatoma. Cirrhosis and hepatoma are not observed in mice receiving specific chemical agents [38]. Few mouse hepatoma carcinogens have carcinogenic effects on humans, with the exceptions of aflatoxin B1 and oral contraceptives. The view that oral contraceptives increase the incidence of hepatoma in mice and humans has become a common understanding of academia. Nevertheless, the carcinogen-induced mouse model is still widely used in some studies on hepatoma [39]. The carcinogen-induced hepatoma mouse models are used to reveal the relationship between exposure to carcinogens and specific gene alterations [40]. There are currently two types of carcinogens: (i) genotoxic agents that can directly induce tumorigenesis, such as *N*-nitrosodiethylamine (DEN), peroxisome proliferator, and aflatoxin B (AFB); (ii) accelerators that promote tumor formation when combined with genotoxic agents, such as thioacetamide (TAA), carbon tetrachloride (CCl<sub>4</sub>), and choline-deficient diet (CDD) [41]. In this section, we will introduce several types of carcinogen-induction models.

#### *N*-Nitrosodiethylamine

Hepatoma models can be established by administering DEN to mice [42]. The carcinogenicity of DEN has been demonstrated to involve two parallel pathways: alkylation of DNA structures that cause cell degeneration and DNA damage, and production of reactive oxygen species (ROS) by activation of cytochrome P450 [43]. Compared with other models, the DEN-induced hepatoma model has unique requirements, including the time and dosage of administration [44]. DEN is usually used in B6C3F1 mice at a concentration of 5.0 μg g<sup>-1</sup> (once, *i.p.*). The tumorigenesis induced by DEN varies with mouse strain, sex, and age [45]. A single time intravenous injection (*i.v.*) of DEN is sufficient to induce

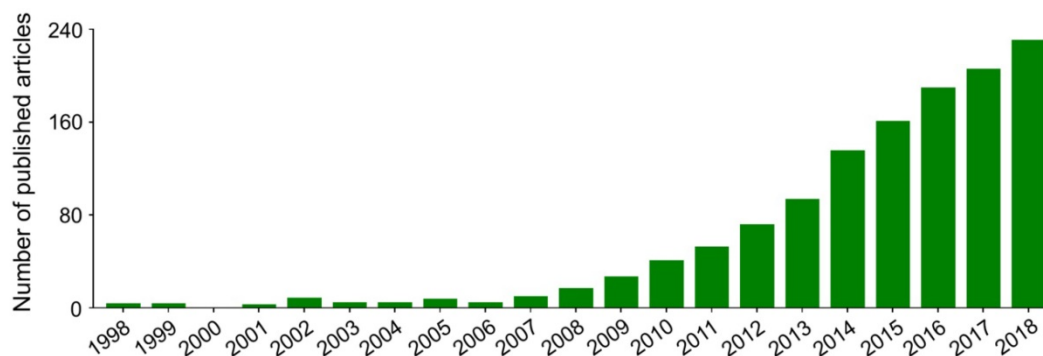
tumorigenesis in a variety of mouse models. However, 100% tumor development is difficult to achieve through a single injection, but long-term DEN administration has been shown to cause nearly 100% tumor formation. In addition, the models may be negatively influenced by repeated DEN injection and the experiments may be delayed by the long administration period.

#### Carbon tetrachloride

CCl<sub>4</sub> is classified in Group 2B (possibly carcinogenic to humans) on the list of carcinogens by the International Agency for Research on Cancer (IARC), meaning there is insufficient evidence that it can cause human hepatoma [46]. However, CCl<sub>4</sub> is a recognized liver-toxic substance frequently applied to induce hepatoma in rodents [47]. Two different factors contribute to the hepatotoxicity of CCl<sub>4</sub>. First, the level of ROS is increased by cytochrome P450 [48]. Second, chemokines, cytokines, and other proinflammatory factors are produced by Kupffer cells. Both processes induce an inflammatory response [49]. It initiates a repeated cycle of injury, inflammation, and repair which finally leads to fibrosis and hepatoma. In one study, researchers administered DEN and CCl<sub>4</sub> into mice and provided alcohol through drinking water, which led to hepatoma after five months [50].

#### Thioacetamide

TAA is also classified in Group 2B in the list of carcinogens by IARC [51]. TAA is a type of hepatotoxin used to induce hepatoma in rodents either by drinking or by intraperitoneal (*i.p.*) injection [24]. Many studies have shown that TAA can cause hepatic fibrosis in mice in 10 – 15 weeks [52]. TAA is also related to the formation of oxidative stress, and the increase of ROS in the liver can progressively lead to the damage of DNA and the development of hepatoma [53].



**Figure 1.** Trends in published articles on application of polymer nanoformulations in treatment of hepatoma from 1998 to 2018 included in Web of Science Core Collection. The search topic is ((liver cancer OR liver carcinoma OR hepato\* carcinoma OR hepatoma) AND (nano\*) AND (polym\* OR macromolecul\*) AND (\*therapy)).

### Peroxisome proliferator

It was reported that mice fed on peroxisome proliferator (PP) diet developed hepatoma [54]. Currently, it is widely accepted that long-term exposure to PPs can induce mouse hepatoma [55]. PPs stimulate liver cell growth and inhibit the apoptosis of cancerous cells [56]. The peroxisome proliferator-activated receptors (PPARs) are ligand-inducible nuclear receptors that, when combined with fatty acid-derived ligands, activate the transcription of genes regulating lipid metabolism [57]. PPAR ligands can promote hepatoma development through the activation of peroxisomal oxidase, inducing ROS formation [58]. Mouse models fed with a PP diet have specific characteristics, including trabecular histological patterns, metastasis in one-fifth to two-fifths of cases, and the induction of gene mutations. However, it is unclearly known whether long-term exposure to PPs is also harmful to human [24]. One should be cautious in using this model for interpretation of human disease, because the hepatoma induced by PPs may be species-specific, as the genetic features of many PP models are significantly different from those of human hepatoma.

### Aflatoxin

Only a small number of studies have used aflatoxin for hepatoma modeling in mouse [59]. In this method, hepatotoxicity is mainly caused by *Aspergillus* fungi. These fungi are mainly present in corn, rice, and peanuts under moist conditions. In China and West Africa, the high rate of AFB leads to a high prevalence of hepatoma [60]. Carcinogenic activity of AFB is mainly due to chromosomal strand breaks, chromosomal aberrations, the formation of DNA-adducts, micronuclei, and unregulated DNA syntheses [61]. A study showed that 7-day-old mice receiving 6.0 mg per kg body weight ( $\text{mg} (\text{kg BW})^{-1}$ ) of AFB developed into hepatoma at a rate of nearly 100% in 52 weeks [62]. Some reports suggested that hepatoma models could be established in DBA/2J and C57BL/N mice using AFB. However, the hepatoma-induction rate in C57BL/N mice was quite low [63]. The difference in susceptibility between DBA/2J and C57BL/N mice may attribute to the differences in several AFB sites.

### Choline-deficient diet

Many studies have shown that hepatoma can be induced by the application of a CDD. This method was first used to induce the formation of steatohepatitis, liver fibrosis, and liver cirrhosis in rats and mice [64]. Recently, it was found that mice receiving CDD developed into hepatoma in 50 – 52 weeks [65]. Similarly, a large proportion of rats that received CDD

developed into hepatoma. The primary mechanisms of hepatoma development induced by CDD are associated with the stimulation of oval cells, resulting in increased oxidative stress, genetic mutations, modifications, and DNA damage. The carcinogenic mechanisms of CDD might be similar to the carcinogenicity of chemotoxic compounds such as DEN and  $\text{CCl}_4$  [66]. In addition, the ethionine administration combined with a CDD can enhance the stimulation to oval cells and increase carcinogenicity [67]. Similarly, CDD combined with DEN can further stimulate the induction of hepatoma and shorten the period of tumor formation compared with CDD alone [68].

### Virally induced hepatoma models

More than 80% of human hepatoma patients are caused by the hepatitis B virus (HBV) and hepatitis C virus (HCV) [69]. Because of the rigorous human tropism of these viruses, one problem with the HBV or HCV-associated hepatoma mouse model is that HBV or HCV requires human hepatocyte to induce hepatitis [70]. For example, cirrhosis is one of the characteristic manifestations of HBV and HCV, but sometimes it does not necessarily occur in rodents [71]. Cirrhosis in rodents was observed only in a few cases, but cirrhosis is very common in human hepatoma patients [72]. The development of hepatoma induced by HBV or HCV infection may take more than 20 years because this process requires many steps of genetic alterations. It is difficult to detect the molecular mechanisms of all these steps using cell culture or non-genetic animal models. Therefore, various animal models have been designed to investigate viral hepatitis. The two standard models for HBV-induced hepatoma are woodchucks (*Marmota monax*) and ground squirrels (*Spermophilus beecheyi*), which are induced by long-term woodchuck hepatitis virus (WHV) and chronic Squirrel Hepatitis Virus, respectively [73]. The woodchuck model of hepatoma is a valuable tool to test the effect of nucleoside analogs in the long-term treatment of hepatoma [74].

### Hepatitis B virus

The high incidence of hepatoma is closely related to the large proportion of people infected with HBV, especially in developing countries. HBV infection causes liver fibrosis and cirrhosis, eventually leading to hepatoma. This pathological evolution is often referred to as the hepatitis B liver trilogy. The control of the HBV plays an important role in the prevention of hepatoma. The HBV genome encodes a variety of proteins, the most carcinogenic of which is X-protein (HBx). There is growing evidence that viral genes, particularly HBx-encoding genes, may cause uncontrolled cell growth and viability, making liver cells

sensitive to exogenous and endogenous carcinogens [75]. In 1985, two research teams developed the first HBV-related transgenic mouse model [76]. Many HBV-related transgenic animals express HBx gene, which is closely related to the change of liver function and the occurrence and development of hepatoma [77]. HBx transgenic mice are more susceptible to hepatoma than their non-transgenic counterparts after a single DEN injection [78].

### Hepatitis C virus

Approximately 30% of hepatoma cases are associated with chronic HCV infection, which is the second most common cause of hepatoma [69]. The possibility for HCV-infected patients to develop cirrhosis is as high as 35% [70]. The cumulative risk of developing hepatoma in these patients with liver cirrhosis is 1% to 7% per year. Considering hepatoma is the most common cause of death in the HCV patients [79], it is necessary to study the mechanism of HCV-induced hepatoma and learn how to intervene in its progression. Preclinical models are often performed in rodents. For example, mouse models of HCV-infected hepatoma developed by Lerat *et al.* are similar to HCV-infected hepatoma in human [80]. These models could help to study the carcinogenic mechanisms of HCV-associated hepatoma and the possible causes of HCV infection in hepatoma [81]. There are still some models that do not develop hepatoma, which may be explained by the difference in expression levels of HCV RNA or protein and the genetic differences in mice. It is difficult to establish an ideal mouse model due to the different pathological features between mouse hepatoma and human hepatoma and the heterogeneity of hepatoma itself. However, they still have many advantages and broad applications in preclinical and clinical studies of hepatoma.

### Genetically engineered hepatoma models

A transgenic mouse model constructed in the early 1980s was used to study the molecular characteristics of human malignant tumors *in vivo* [82]. A variety of transgenic animal models have been studied, of which the most widely studied is the transgenic Simian Virus 40 (SV40) T-antigen mouse model. The genome of SV40 is a well-known DNA tumor virus, which encodes the large and small oncogenic T antigens, T-Ag, and t-Ag, collectively known as T-Ag [83]. After infection with the virus, the large T-Ag causes malignant transformation of host cells mainly through the deactivation of p53 and Rb [84]. Another such transgenic mouse model was constructed by Murakami *et al.* [85]. They hybridized transgenic Alb/c-Myc mice (overexpressing c-Myc,

guided by the albumin promoter) and transgenic MT/TGF- $\alpha$  mice (overexpressing TGF- $\alpha$ , guided by the metallothionein 1 promoter) to produce double transgenic mice that overexpressed c-Myc and TGF- $\alpha$  in the liver. These traditional transgenic mouse models have been frequently used to reveal the role of a particular gene in the development of hepatoma and to study the development of multiple individual stages of hepatocellular carcinogenesis [86, 87]. Recently, conditional mouse models have been developed by inducing the genetic alterations in a unique time-controlled, tissue-specific manner. For example, based on the fact that mice do not express TVA receptor of subgroup A avian leucosis sarcoma virus (ALSV-A), Lewis *et al.* used the retroviral transduction strategy to transfer oncogenes to liver cells *in situ* [88].

Recently, there are many breakthroughs in genetically engineered hepatoma mouse models. However, so far these models have been used to study the effects of gene changes, *e.g.*, mutations, deletions, or overexpression, during the onset of hepatoma, rather than at the point of hepatocarcinogenesis [89]. A significant breakthrough in the application of mouse models to study hepatoma in this area is the development of ribonucleic acid (RNA) microarray technology. It helps us to estimate which subclass a particular hepatoma tissue belongs to and to verify the most divergent genes among subclasses. Lee and colleagues found that c-Myc/TGF- $\alpha$  mice were more likely to reproduce the prognosis of advanced hepatoma, and the hepatoma in E2f1, c-Myc, and c-Myc/E2f1 transgenic mice was similar to a set of hepatoma with good prognosis [90]. The results were further confirmed by the analyses of the chromosomal change pattern and the existence of  $\beta$ -catenin mutations obtained from the corresponding transgenic animal hepatoma [91].

In conclusion, various animal models of hepatoma have been constructed, and they are helpful for screening new drugs for the treatment of hepatoma, studying the interactions between tumor and host, discovering possible carcinogens of hepatoma, and exploring the molecular mechanism of hepatoma. Researchers should choose appropriate animal models according to the characteristics of each animal model and research purposes. As shown in Table 2, we summarized the advantages and disadvantages of different hepatoma models. Among them, we believe that the most promising model is the genetically engineered hepatoma model, which can help to investigate the mechanisms of hepatoma from the genetic perspective and provide a basis for individualized and precise treatment of hepatoma. With the rapid development of genome editing technology, more ideal hepatoma models will be

established, which can effectively guide the diagnosis and treatment of hepatoma.

## Chemotherapy and molecular targeted therapy of hepatoma with nanoformulated drugs

As mentioned above, we know that an essential role of animal models of hepatoma is to screen new therapeutic drugs, including chemotherapy drugs, molecular targeted agents, immunotherapy drugs, such as nivolumab and pembrolizumab, and gene therapy drugs, and the most commonly used models are subcutaneously inoculated hepatoma models. Chemotherapy and molecular targeted therapy are currently the primary treatment for advanced hepatoma, but the effect is often unsatisfactory. With the development of nanotechnology, many nanoformulated drugs have been developed for the treatment of hepatoma. However, the antitumor efficacies and side effects of these drugs need to be thoroughly evaluated before they can be applied to clinical treatment. Therefore, in this part, we will review the application and progress of nanoformulated drugs in the treatment of hepatoma in combination with relevant animal models.

Hepatoma is a deadly malignant tumor with high morbidity worldwide. In recent years, due to the rapid development of early diagnosis, the resection rate of hepatoma has increased significantly [92]. However, hepatoma is prone to metastasis, and a majority of patients have already progressed to the intrahepatic or distant metastasis at the time of detection. As a result, a large number of hepatoma patients miss the chance to receive surgical resection. Therefore, nonsurgical treatments of hepatoma, especially chemotherapeutics, including doxorubicin (DOX), 5-fluorouracil (5-FU), gemcitabine (GEM), cisplatin (CDDP), and mitoxantrone (MX), and molecular targeted drugs, including sorafenib (SF), lenvatinib (LT), regorafenib (RF), and cabozantinib (CT), play an essential role in the clinical treatment of hepatoma.

Generally, hepatoma is resistant to chemotherapeutic agents, and the therapy efficacy is relatively limited. The rapid development of nanomedicines has dramatically improved the therapeutic effects of many small molecule cytotoxic drugs used in the clinic [93, 94]. Compared with traditional chemotherapeutics, antineoplastic drug-loaded polymer nanoparticles have significant advantages in several aspects: (i) Prolonged blood circulation. The encapsulation of polymer nanoparticles increases the half-life of drugs in the blood. (ii) Enhanced tumor accumulation. The nanosized platforms facilitate the localization of drugs in tumor tissues through the enhanced

permeability and retention (EPR) effect and/or tumor targeting ligand-mediated active targeting [95]. (iii) Tumor microenvironment-specific drug release profile. The on-demand drug delivery is triggered by the specific endogenous intracellular stimuli (*e.g.*, pH, redox environment, ROS, or enzyme) or exogenous excitations (*e.g.*, light, temperature, or voltage) [96-99]; (iv) Synergetic therapy. Different drugs with various antitumor mechanisms achieve synergistic effects through encapsulation in polymer nanoparticles with well-designed release profiles [100]; (v) Crossing biological barriers. Antineoplastic drug-loaded polymer nanoparticles can cross the blood-brain barrier [101] and even escape from intracellular autophagy. Due to these advantages, polymer nanoparticles significantly improve the antitumor efficacies and reduce the side effects of chemotherapeutic drugs. In addition, the combined chemotherapy is widely used to improve the antitumor efficacy and overcome drug resistance to tumors [102]. Different drugs can induce cell apoptosis at different cell cycle stages, which requires careful consideration of their therapeutic pathways to reduce side effects.

Recently, molecular targeted therapy has shown effective efficacy in the treatment of advanced and refractory hepatoma, providing a promising strategy for improving the survival of patients without effective therapy [103]. At present, according to the latest results in clinical trials, SF and LV are the first-line treatment for hepatoma, and RF and CT are the second-line treatment [104]. In the advanced therapy, molecular targeted drugs are developed to inhibit specific pathways, including epidermal growth factor receptor (EGFR), vascular endothelial growth factor receptor (VEGFR), phosphoinositol 3-kinase (PI3K)/ mammalian target of rapamycin (mTOR), Ras/ extracellular signal-regulated kinase, hepatocyte growth factor (HGF)/mesenchymal-epithelial transition factor (MET), Hedgehog, Wnt, and apoptotic signaling. In addition, the combination of different molecular targeted drugs, and the combination of molecularly targeted drugs with other antineoplastic agents show great potential in the clinical therapy of advanced hepatoma.

## Nanoformulations of chemotherapeutic drugs

### Doxorubicin-loaded nanoparticles

DOX is one of the most common chemotherapeutic drugs to treat a variety of cancers [105], including hepatoma [106]. However, its clinical application is often hindered by severe side effects, especially cardiotoxicity [107]. Recently, different nanoscale drug delivery systems have been developed to decrease the side effects of DOX. For this reason, our group has carried out much work in the

field of smart antitumor drug delivery with smart polymer nanocarriers. Ding *et al.* fabricated a series of glycopolypeptide-based micelles for intracellular DOX delivery in hepatoma chemotherapy using the five-week-old BALB/c nude mice subcutaneously inoculated with HepG2 cells, as shown in Figure 2A [108, 109]. The hepatoma-targeted DOX-loaded galactosylated polypeptide (PGLG-*b*-PLGA) nanoplatforms (*i.v.*) were more effective to inhibit the tumor growth as compared to a formulation that substituted galactose with oligo(ethylene glycol) (PMLG-*b*-PLGA) (Figure 2B). It was attributed to its enhanced cellular uptake of tumor cells. Moreover, no significant body weight loss was observed during this treatment period. In contrast, mice treated with free DOX showed mild weight loss due to its poor toleration and minor acute plasma DOX concentration, which was shown in Figure 2C. As shown in Figure 2D – 2I, the parameters of the liver, kidney, and heart in all treatment groups were at normal levels, indicating that free DOX and DOX-loaded nanomedicines didn't cause severe toxicity to mice.

In the study of Ding's group, a methoxy poly(ethylene glycol)-poly(L-phenylalanine-*co*-L-cystine) (mPEG-P(LP-*co*-LC)) nanogel with reduction-responsiveness was prepared by one step ring-opening polymerization of L-cystine *N*-carboxyanhydride (LC NCA) and L-phenylalanine *N*-carboxyanhydride (LP NCA), as depicted in Figure 3A [96, 97]. At 6 and 12 h after administration (*i.v.*), the accumulation of DOX-loaded nanogel (NG/DOX) at the tumor site was higher than that of free DOX HCl at a dose of 6.0 mg (kg BW)<sup>-1</sup>. The results were due to the EPR effect of NG/DOX in the tumor tissues (Figure 3B). Compared with the free drug, NG/DOX showed the improved antitumor effect on human HepG2

hepatoma-xenografted BALB/c nude mouse model (Figure 3C and D). In the same group, a pH and reduction dual-responsive polypeptide nanogel methoxy poly(ethylene glycol)-poly(L-glutamic acid-*co*-L-cystine) (mPEG-P(LG-*co*-LC)) was developed for DOX delivery in hepatoma chemotherapy, as shown in Figure 4A [110]. The NG/DOX showed enhanced capability for inhibiting proliferation of human hepatoma HepG2 and H22 cells compared with free DOX HCl *in vitro*. Moreover, NG/DOX showed a lower half-maximal inhibitory concentration (IC<sub>50</sub>) than free DOX HCl, suggesting efficient endocytosis and intracellular microenvironment-responsive release of NG/DOX. As shown in Figure 4B, the plasma concentration of free DOX HCl decreased rapidly due to its fast elimination. In contrast, the level of NG/DOX (*i.v.*) in the plasma was much higher than that of free DOX HCl. As a result, the antitumor efficacy of NG/DOX on H22 hepatoma-bearing BALB/c mouse model was better than that of free DOX HCl, as depicted in Figure 4C. Altogether, NG/DOX showed great potential in clinical chemotherapy of malignancy.

**Table 1.** Synopsis of leading experimental features of preclinical mouse models of hepatoma.

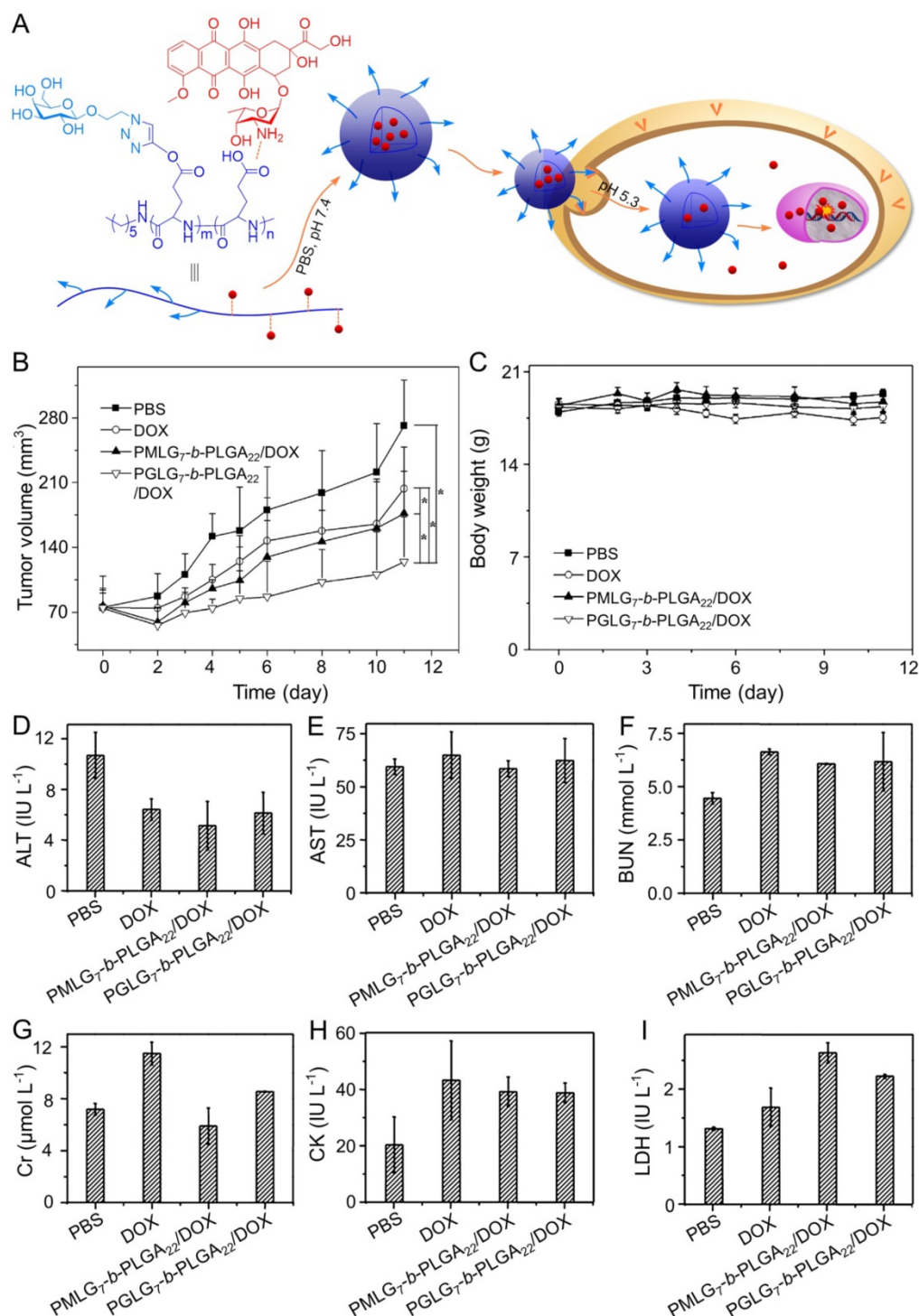
Model	Promoter	Steatosis	Injury	Inflammation	Fibrosis	References
DEN	PB	+	+	+	-	[86]
PP	-	-	+	+	-	[176]
Aflatoxin B1	-	-	-	-/+	+	[177]
CCl <sub>4</sub>	-	-	+	+	+	[178]
TAA	-	-	-	+	-	[52]
CDD	Methionine	+	+	+	+	[179]
HBV transgenic	X protein	+	+	-	-	[87]
HCV transgenic	Polyprotein	+	+	-	-	[80]

+: available; -: not available.

**Table 2.** Synopsis of the advantages and disadvantages of different hepatoma models.

Hepatoma models	Advantages	Disadvantages	Applied research
Subcutaneously inoculated hepatoma models	Easy to establish Rapid tumor formation (5 – 20 weeks) Easy to monitor size of tumor Low cost Highly reproducible	Lacking interaction between tumors and liver tissues Lacking tumor-host interactions (such as metastasis and angiogenesis)	Screening of new drugs
Orthotopically implanted hepatoma models	Rapid tumor formation Metastases can be observed Replicating the tumor microenvironment Tumor-host interactions can be tested	Difficult to establish Complex procedures High cost Difficult to monitor the size of tumor	Screening of new drugs Investigating tumor-host interactions
Chemically and virally-induced hepatoma models	The lesion-fibrosis-malignant cycle similar to humans can be observed	Slow tumor formation Causing severe liver damage There are species differences in response to carcinogens between humans and mice	Identifying possible carcinogens of hepatoma Investigating the relationship between carcinogen exposure and specific genetic changes
Genetically engineered hepatoma models	Specific to the liver or hepatocytes Temporary or permanent regulation May be reversible sometimes	Difficult technique High cost Transgenes are expressed in all hepatocytes Genetic alterations exist throughout the embryogenesis process	Studying the effect of genetic alterations Investigating the molecular mechanism of hepatoma





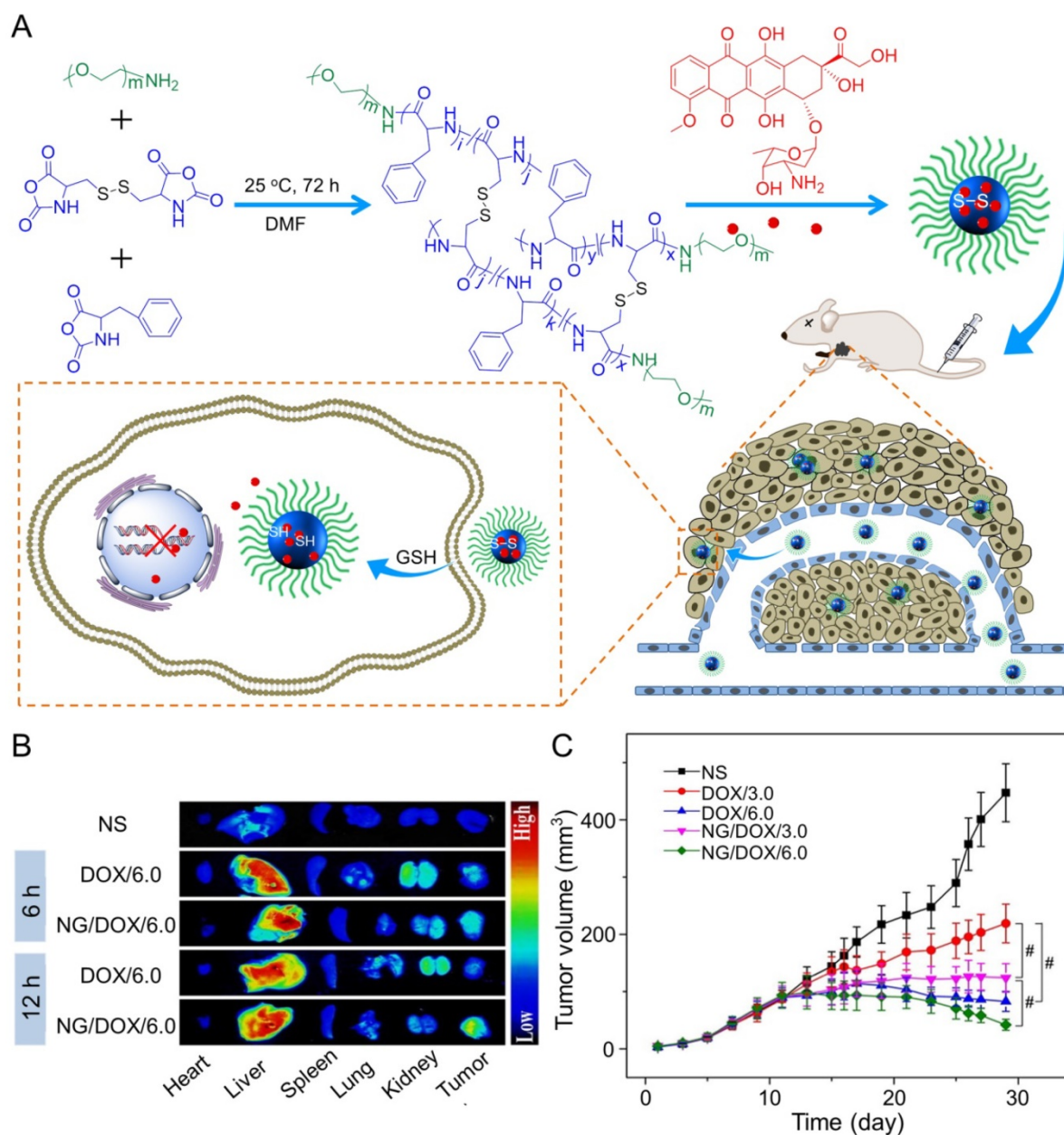
**Figure 2.** Preparation process of DOX-loaded PMLG<sub>7</sub>-b-PLGA<sub>22</sub> and PGLG<sub>7</sub>-b-PLGA<sub>22</sub> micelles and evaluation of the antitumor efficacy [109]. (A) Preparation and targeted delivery of micelles assembled from glycopeptide and DOX. (B) Antitumor efficacies *in vivo*, (C) and body weight changes treated with PBS, DOX, and micelles from PMLG<sub>7</sub>-b-PLGA<sub>22</sub>/DOX and PGLG<sub>7</sub>-b-PLGA<sub>22</sub>/DOX. Evaluation of (D) ALT, (E) AST, (F) BUN, (G) Cr, (H) CK, and (I) LDH levels after all the treatments of PBS, DOX, and nanomedicines from PMLG<sub>7</sub>-b-PLGA<sub>22</sub>/DOX and PGLG<sub>7</sub>-b-PLGA<sub>22</sub>/DOX. Copyright 2013. Reproduced with permission from Elsevier Ltd.

In addition, Ding and coworkers synthesized an acid-sensitive dextran-doxorubicin conjugate (Dex-O-DOX) for hepatoma therapy (Figure 5A) [111]. All DOX formulations were given to Kunming mice with H22 tumors by intravenous injection. As expected, the antitumor activities of these groups were ranked in

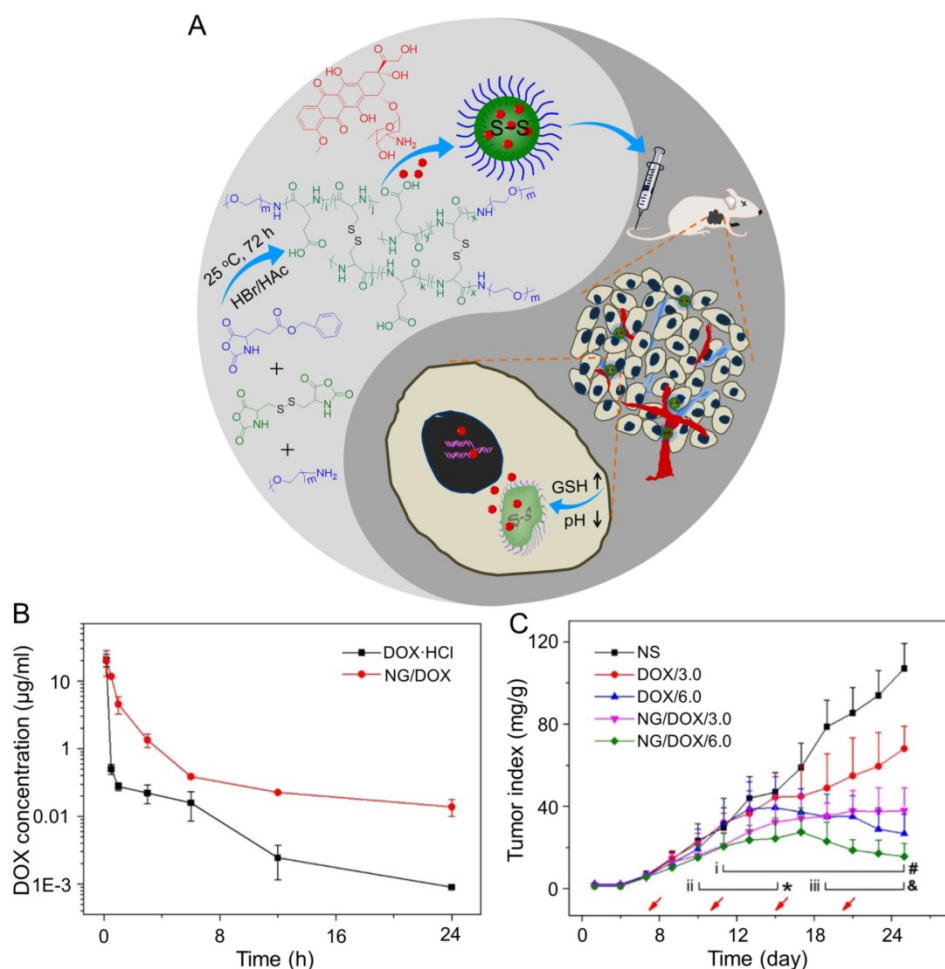
the following order: Dex-O-DOX > free DOX ·HCl > Dex-b-DOX (Figure 5B). This finding can be attributed to the selective DOX release of Dex-O-DOX in tumor cells (HepG2 cells). The body weights of mice receiving Dex-O-DOX and Dex-b-DOX increased more significantly than those of mice receiving free

DOX·HCl, suggesting that the conjugation of DOX to Dex could efficiently reduce the drug toxicity. As shown in Figure 5C, the Dex-O-DOX group showed an extended survival period compared with the control group, mainly because the sustained DOX release enhanced its antitumor effect. These findings suggested that Dex-O-DOX could effectively suppress the growth of hepatoma *in vivo* and significantly reduce the systemic side effects. In the same group, an acid-sensitive Dex-DOX prodrug (Dex-g-DOX) was facilely synthesized for chemotherapy of the DEN-induced orthotopic hepatoma in rats, as

depicted in Figure 6A [112]. As shown in Figure 6B, the number of large tumor nodules (TNs) (>3 mm) in rats treated using Dex-g-DOX (*i.v.*) was lower than that in rats treated using free DOX·HCl. Meanwhile, Dex-g-DOX also showed significantly fewer TNs (1–3 mm) and higher antitumor efficacy (Figure 6C). Overall, Dex-g-DOX significantly boosted the therapeutic efficacy in orthotopic hepatoma in rats. These studies demonstrate that polymer-drug conjugates have great potential in clinical chemotherapy of hepatoma.



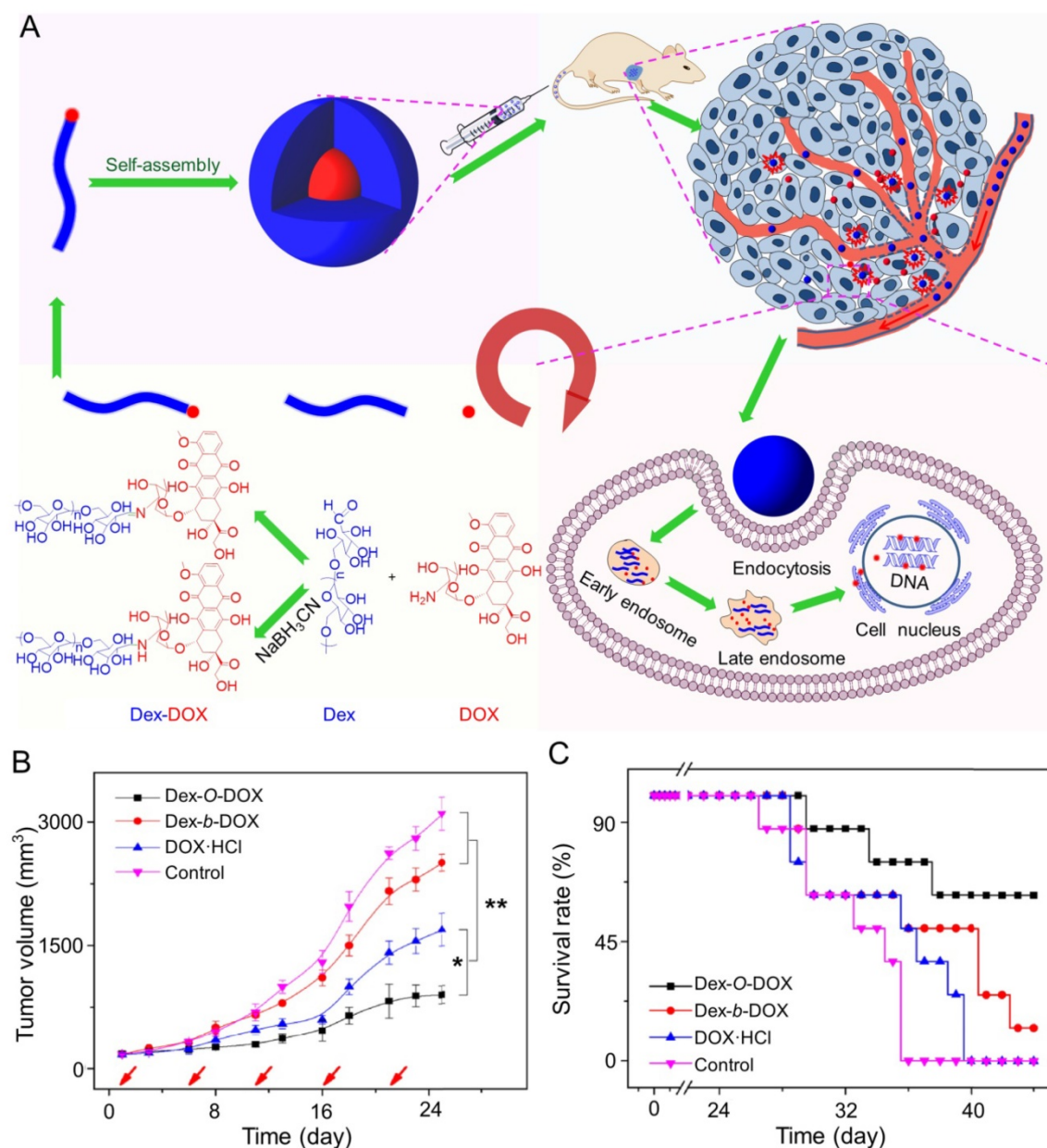
**Figure 3.** Preparation of mPEG-P(LP-co-LC) nanogel and determination of the antitumor effect [96]. (A) Synthetic pathway for mPEG-P(LP-co-LC) nanogel, illustrations of DOX encapsulation by nanogel, and its circulation, intratumoral accumulation, endocytosis, and targeting intracellular DOX release after intravenous injection. (B) *Ex vivo* DOX fluorescence images of major visceral organs and tumor isolated at 6 or 12 h post-injection of NS, free DOX HCl, or NG/DOX at a dose of 6.0 mg DOX HCl equivalent per kg body weight toward BALB/c nude mice bearing a HepG2 tumor. (C) *In vivo* antitumor efficacies of NS, free DOX HCl, and NG/DOX at a dose of 3.0 and 6.0 mg DOX HCl equivalent per kg body weight. Copyright 2015. Reproduced with permission from Elsevier Ltd.



**Figure 4.** NG/DOX characterizations and DOX encapsulation, cell proliferation inhibition, and pharmacokinetics *in vivo* [110]. (A) Synthetic pathway for mPEG-P(LG-co-LC) nanogel, DOX encapsulation by nanogel, and its characterization. (B) *In vivo* pharmacokinetic profiles after injection of DOX and NG/DOX in rats. (C) *In vivo* antitumor efficacy of NS, or of free DOX HCl or NG/DOX at a dosage of 3.0 and 6.0 mg DOX equivalent per kg body weight toward H22-hepatoma-grafted BALB/c mouse model. The arrows indicated the treatment times. Each set of data was represented as mean  $\pm$  SD ( $n = 10$ ; \* $P < 0.05$ , & $P < 0.01$ , # $P < 0.001$ ; i, DOX/3.0 vs NG/DOX/3.0; ii and iii, DOX/6.0 vs NG/DOX/6.0). Copyright 2017. Reproduced with permission from the Ivyspring International Publisher.

The above-mentioned smart polymer nanoparticles exploited in the Ding's group showed good passive and/or active targeting to the liver. Notably, the cardiac toxicity of DOX was also decreased in the groups of DOX-incorporated nanoparticles. These findings suggested that the DOX-loaded nanoparticles could effectively inhibit the growth of hepatoma and significantly reduce the systemic side effects. In addition to Ding and his colleagues' work, a variety of other DOX-loaded nanoparticles have also been developed. Increasing the targeting of DOX to tumor cells is one strategy of nanoformulations. Wang *et al.* designed and prepared CD147-targeted DOX-loaded immunoliposomes (anti-CD147 ILs-DOX) [21]. Because CD147 is an important marker expressed on the surface of hepatoma cells, anti-CD147 ILs-DOX (*i.v.*) could specifically and efficiently deliver DOX to CD147-overexpressing hepatoma cells, resulting in enhanced antitumor effects and lower side effects in Huh-7 xenograft mice models. Similarly, in order to

enhance the efficacy and safety of doxorubicin, Xu *et al.* designed hepatoma-targetable DOX-encapsulating nanoparticles (tNP-PLA-DOX) by a modular assembly approach [113]. At first, they synthesized DOX-derived polymeric prodrug (PLA-DOX) by attaching DOX to a polylactide building block. Then PLA-DOX coassembled with 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-[methoxy poly(ethylene glycol) 2000] (DSPE-PEG2000) to form injectable nanomedicine. Finally, a hepatoma-specific homing ligand was decorated on the surface of nanomedicine. The tNP-PLA-DOX was characterized by good stability, low toxicity, and high selectivity to tumor cells. *In vivo* antitumor efficacy experiment showed the tumor weights of HCC-LM3 xenograft-bearing nude mice treated with tNP-PLA-DOX (*i.v.*) were lower than those of the mice in control groups. Therefore, nanoparticles can improve the therapeutic index of DOX and provide a promising direction for the advanced treatment of hepatoma.



**Figure 5.** Fabrication of Dex-DOX conjugates and the assessments of antitumor activity and security [111]. (A) Syntheses and self-assembly of Dex-DOX conjugates and characterization. (B) Tumor volumes and (C) survival rates of mice treated with Dex-O-DOX, Dex-b-DOX, or free DOX HCl with NS as a control. Copyright 2015. Reproduced with permission from Elsevier Ltd.

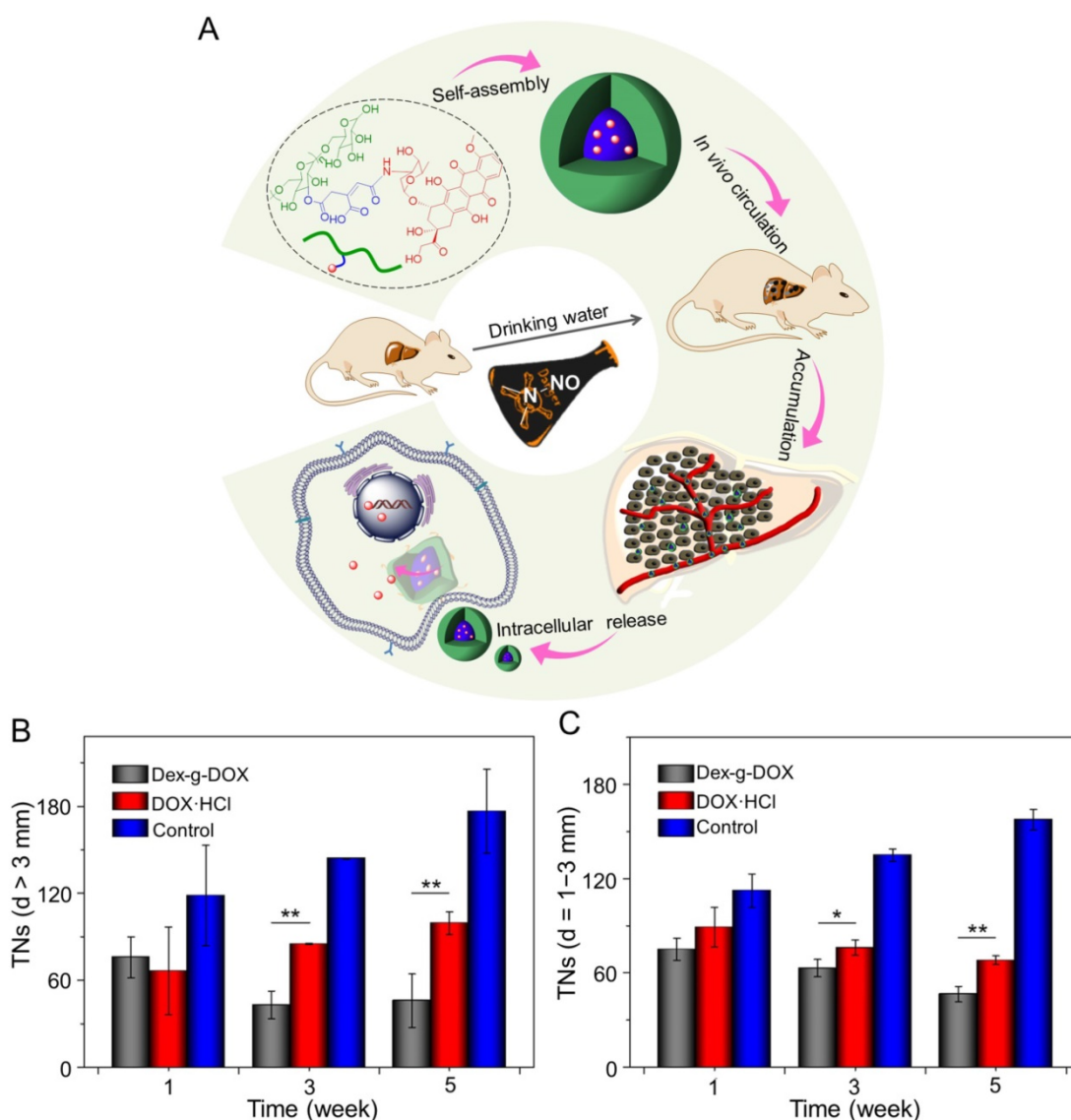
Although the above nanoparticles all increased the tumor targeting and antitumor efficacy of DOX and reduced its side effects to varying degrees, we can see that there are some apparent differences between them. First of all, different kinds of nanocarriers are used in these nanoformulations, including polypeptides (PLGA), polysaccharides (*e.g.*, Dex), polypeptide nanogels, polylactide (PLA), and liposomes. These nanocarriers have some unique physical and chemical properties, such as biocompatibility, biodegradability, high drug loading capability, pH sensitivity and reduction reactivity, which contribute to the delivery and release of nanomedicines. For example, the

reduction-responsive polypeptide nanogels enabled NG/DOX to release DOX triggered by the intracellular microenvironment rapidly. Dex made Dex-g-DOX have the property of inherent self-targeting, allowing it to accumulate highly in the liver. Secondly, different ligands are modified on the surface of the nanoparticles to increase the targeting of the nanoformulations to the cancers cells. The galactose ligand can recognize the asialoglycoprotein receptor (ASGP-R) of HepG2 cells, which significantly promoted the uptake of PGLG-*b*-PLGA and PMLG-*b*-PLGA. Anti-human CD147 antibody and HCC-specific homing ligand (SP94) significantly

increased the active targeting of anti-CD147 ILs-DOX and tNP-PLA-DOX on hepatoma, respectively. Finally, the structure and synthesis of nanoparticles are different. PMLG-*b*-PLGA and PGLG-*b*-PLGA were prepared by self-assembly of DOX and galactopeptide, and Dex-*O*-DOX was synthesized by the versatile oximation reaction. NG/DOX was synthesized by sequential dispersion and dialysis technique, which made it highly efficient in drug loading. In contrast, tNP-PLA-DOX was synthesized by multiple components through a modular assembly approach, which was cost-effective. In summary, we can see that different nanoformulations have their own characteristics and advantages. With the development of nanotechnology, the properties and anticancer effects of nanoformulations are continually improving, and they will become an effective means for treating hepatoma in the future.

### 5-Fluorouracil nanoformulations

As one of the most widely used antitumor drugs, 5-FU is broadly used to treat many solid tumors, such as colon cancer, gastric cancer, and hepatoma [114]. As a pyrimidine analog, 5-FU inhibits DNA synthesis and thymidylate synthase, leading to cell cycle arrest and apoptosis. However, its clinical applications and efficacy are impacted mainly by poor pharmacokinetic properties. Moreover, compared with other chemotherapeutic drugs used clinically, 5-FU can cause bone marrow depression, gastrointestinal irritation, leucopenia, and thrombocytopenia, which may be due to unstable drug concentration in the blood and systemic nonspecific distribution of free drugs [115]. Therefore, it is necessary to develop effective drug carriers that can be used for controlled release of 5-FU.



**Figure 6.** Self-assembly, characterization, and antitumor efficacies of Dex-g-DOX [112]. (A) Schematic illustration for some characterizations of Dex-g-DOX. (B) TNs with diameters > 3 mm; (C) TNs with diameters = 1–3 mm. Copyright 2016. Reproduced with permission from the American Chemical Society.

In Huang's work, the *N*-galactosylated-chitosan-5-FU (GC-FU) acetic acid conjugate was evaluated by different experiments with HepG2 and A549 cells *in vitro* and *in vivo* [116]. The half-life of GC-FU-NPs (*i.v.*) in blood circulation was longer than that of free 5-FU. GC-FU-NPs could specifically and effectively recognize ASGPR receptors on the surface of HepG2 cells, resulting in lower cytotoxicity than free 5-FU. Therefore, GC-FU-NP was a promising targeted system for hepatoma therapy. Cheng and coworkers synthesized GC/5-FU-NPs through a combination of GC and 5-FU, and examined its efficacy in treating hepatoma *in vitro* and *in vivo* [117]. GC/5-FU (*i.v.*) could naturally inhibit tumor growth in orthotopic hepatoma models. GC/5-FU caused higher cytotoxicity to hepatoma cells than to other cells, thus reducing the side effects of 5-FU. Compared with free 5-FU, GC/5-FU could significantly reduce tumor weight and prolong survival time. Ma *et al.* prepared a humanized mouse antibody SM5-1-conjugated poly(D,L-lactide-co-glycolide) (PLGA) nanobubble containing 5-FU (PLGA-5FU-SM5-1) to treat HCC-LM3-fLuc orthotopic hepatoma tumors in mice (Figure 7A) [118]. PLGA-5FU-SM5-1 (*i.v.*) had a prolonged circulation and could target the tumor cells specifically, thereby reducing the toxic side effects and increasing the antitumor activity. The tumor sizes of mice treated with PLGA-5FU-SM5-1 were well controlled compared with other groups. In addition, PLGA-5FU-SM5-1 posed a significantly higher inhibition rate toward HCC mice than other groups on day 31 (Figure 7B and C). Another essential condition for advanced tumor growth is angiogenesis, so the number of vessels was counted to evaluate the antiangiogenic effect of the drugs. The results exhibited that PLGA-5FU-SM5-1 could significantly down-regulate tumor angiogenesis compared with other groups (Figure 7D).

### Gemcitabine-loaded nanosystems

GEM is an antitumor nucleoside analog that interferes with cellular replication in various solid tumors. Its cell internalization mainly relies on the nucleoside transporter hENT1, the downregulation of which may lead to GEM resistance because it is too hydrophilic to pass through the plasma membrane passively. To this end, the GEM-loaded nanoparticles can partially avoid hENT1 because they can be internalized through endocytosis [119]. Some studies have reported that the combination of chlorambucil (CHL) and GEM can improve the therapeutic efficacy [120, 121]. CHL (4-[bis(2-chloroethyl) amino] benzenebutanoic acid) is a type of lipophilic DNA alkylating agent, but the toxic side effects limit its therapeutic performance. It was reported that

delivering CHL in tumor-targeted nanocarriers was a practical approach to reduce toxicity [122].

Furthermore, CHL combined with GEM was a promising strategy for treating hepatoma. Fan and coworkers designed and synthesized chlorambucil gemcitabine (CHL-GEM) conjugates nanomedicine [120]. Because of the amphiphilic characteristic, CHL-GEM conjugates self-assemble into nanoparticles and then accumulate in tumor tissues *via* the EPR effect. This conjugate formulation showed significant improvement in the treatment of hepatoma in SMMC-7721 tumor-bearing nude mice. More studies have been conducted to study the combined effect of nanoparticles and GEM. Du *et al.* synthesized cyclic phosphoryl *N*-dodecanoyl GEM (CPDG) and then synthesized the long-circulating CPDG nanoassemblies composed of CPDG and a long-circulating material—cholesteryl hemisuccinate PEG1500 (CHSP EG1500) for the treatment of H22-beared hepatoma (Figure 8A) [123]. The CPDG nanoassemblies were intravenous injected into the tumor-bearing mice at doses of 37.5 and 75.0 mmol per kg body weight ( $\text{mmol}(\text{kg BW})^{-1}$ ) while the control group was treated with free GEM ( $150.0 \text{ mmol}(\text{kg BW})^{-1}$ ). The group treated with long-circulating CPDG NPs ( $75 \text{ mmol}(\text{kg BW})^{-1}$ ) showed the highest tumor inhibition rate among all treatment groups ( $75 \text{ mmol}(\text{kg BW})^{-1}$ ) (Figure 8B and C). However, similar to many other antitumor drugs, GEM is prone to induce the resistance of hepatoma, which ultimately compromises its therapeutic effect in hepatoma patients [124].

Therefore, it is necessary to conduct further studies to investigate the molecular mechanism of its drug resistance. Although GEM has certain defects, it is a promising antitumor drug for the treatment of hepatoma whether given alone or combined with other therapies.

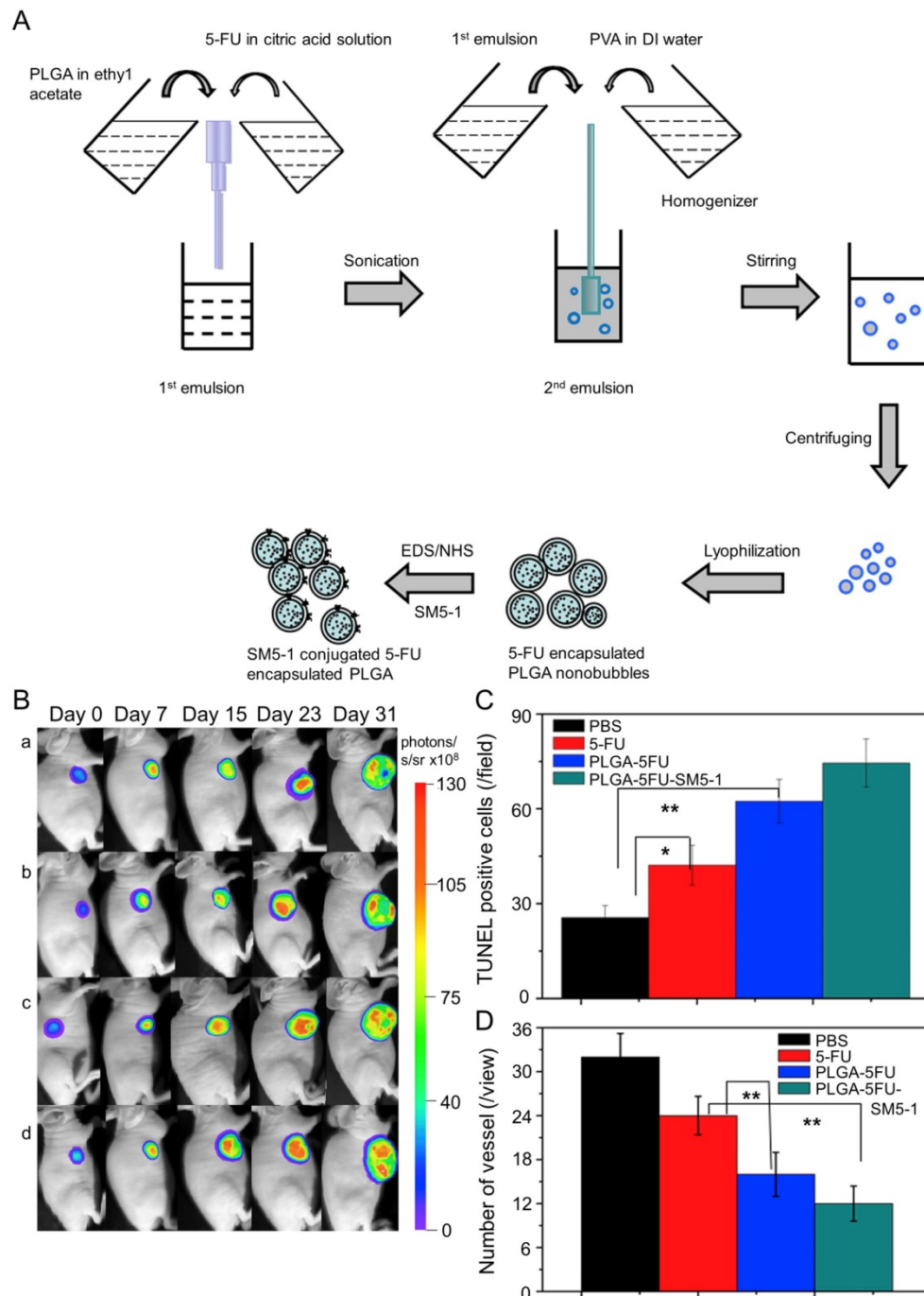
### Cisplatin-loaded nanoplatforms

CDDP is a widely used platinum-based antitumor drug in the treatment of solid malignancies, working as a cell cycle phase-nonspecific drug [125-127]. After the CDDP enters the body, the chlorine atoms are gradually replaced by water molecules to form  $[\text{Pt}(\text{H}_2\text{O})_2(\text{NH}_3)_2]^+$  [128]. Platinum crosslinks with two bases on the DNA to form a closed chain or inter-chain adduct, which inhibits the DNA replication process of cancer cells, leading to apoptosis [129-132]. At the cellular level, CDDP could impact various cellular components through nucleophilic sites, then results in cell malfunction and death [124]. Moreover, intracellular CDDP can react with nuclear DNA to produce DNA-protein crosslinks and intrastrand DNA crosslinks [133]. CDDP can target not only genomic DNA but also other cellular

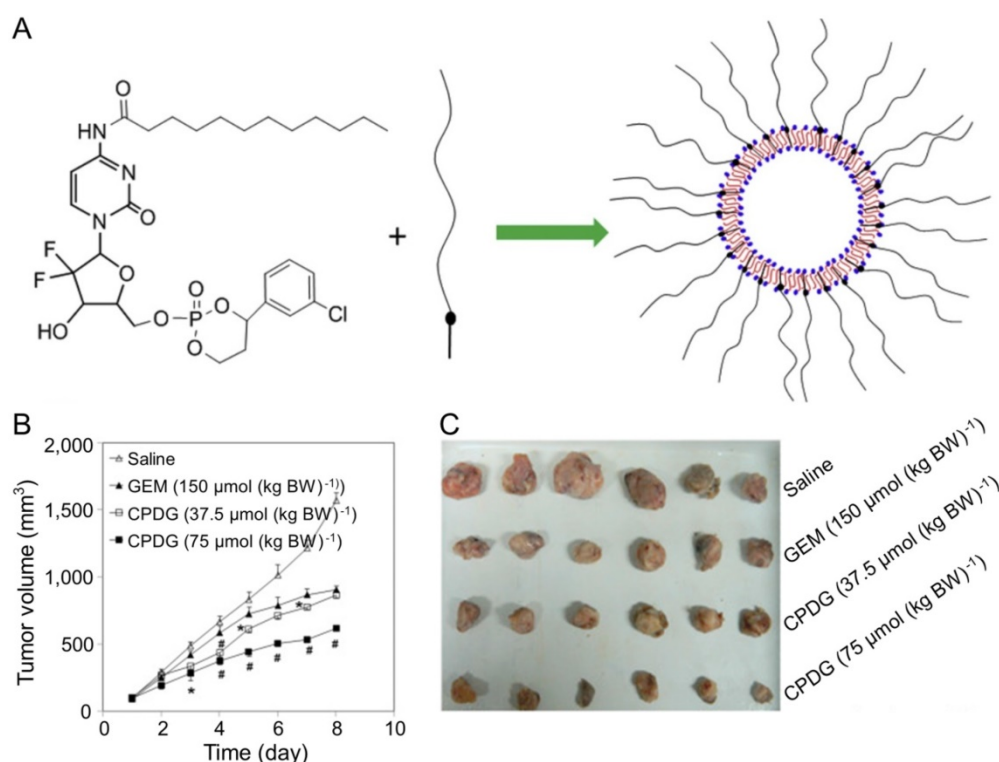
components. For example, CDDP can interact with phospholipids and phosphatidylserines in membranes, bind to mitochondrial DNA and disrupt the cytoskeleton [134]. Cytotoxicity of CDDP is also observed in DNA repair-deficient cells. These cells will die at concentrations of CDDP that do not inhibit DNA synthesis in normal cells and then get blocked in the S phase [135].

However, the use of CDDP always causes severe

toxicity to normal tissues or organs, such as gastrointestinal toxicity, hepatotoxicity, nephrotoxicity, and neurotoxicity. In addition, the treatment with CDDP usually induces drug resistance, which always leads to treatment failure. At present, there are many studies on the mechanism of CDDP resistance. Current hypotheses include the enhancement of detoxification of CDDP, the inhibition of apoptosis, and the enhancement of DNA repair ability.



**Figure 7.** Fabrication process of PLGA, PLGA-5FU, and PLGA-5FU-SM5-1, and inhibition of tumor growth [118]. (A) Schematic illustration of the fabrication process of PLGA, PLGA-5FU, and PLGA-5FU-SM5-1. (B) Serial bioluminescent images of the HCC-LM3-fLuc tumor-bearing nude mice that underwent PLGA-5FU-SM5-1 (a) PLGA-5FU (b) 5-FU (c) saline (d) treatment. (C) The quantitative results of cell apoptosis and (D) angiogenesis. Copyright 2014. Reproduced with permission from Elsevier Ltd.



**Figure 8.** Preparation of the long-circulating CPDG nanoassemblies and inhibition of tumor growth [123]. (A) The long-circulating CPDG nanoassemblies synthesis and preparation process. (B) Growth profiles of tumor volume after *i.v.* injection of GEM solution and long-circulating CPDG nanoassemblies into the mice. (C) Tumor images following *i.v.* administration of GEM and long-circulating CPDG nanoassemblies to the mice. Copyright 2016. Reproduced with permission from Elsevier Ltd.

Nanoparticles can effectively enhance the transport and aggregation of CDDP in tumor cells through EPR effect and enhance the targeting of CDDP to tumor cells. Therefore, the combination of CDDP and polymer nanocarriers reduces the side effects on normal organs, reverses the CDDP-resistance to tumor cells, and improves the antitumor efficacies [136, 137]. Li and coworkers first delivered CDDP by the micelles of amphiphilic block copolymers methoxy poly(ethylene glycol)-*block*-poly( $\epsilon$ -caprolactone) (mPEG-*b*-PCL) [138]. The laden micelle delivered intratumorally (*i.t.*) exhibited cytotoxicity comparable to that of free CDDP against BGC823 and H22 cells, and it could improve the antitumor effect and reduce side effects *in vivo*. Subsequently, Ding *et al.* developed the gelatin-poly(acrylic acid) nanoparticles to deliver CDDP [139]. The CDDP-loaded nanoparticles delivered *i.p.* exhibited improved antitumor efficacy against the mouse H22 hepatoma-allografted mouse model, and the treatment with CDDP nanomedicine prolonged the survival time of mice.

#### Mitoxantrone-loaded nanoparticles

MX, dihydroxy anthracene dione, is a tricyclic planar chromophore with two basic side chains [124]. It possesses antitumor activity against many kinds of tumors, including hepatoma [140]. MX was initially

developed as a simplified DOX with lower cardiotoxicity. Later, the US Food and Drug Administration (FDA) approved it for the treatment of prostate cancer, multiple sclerosis, and acute myeloid leukemia [141]. The antitumor mechanism of MX is to inhibit cell division through inserting into DNA, causing DNA condensation and preventing DNA replication as well as RNA synthesis. Apart from its effect on cell division, MX also kills non-proliferating cells, suggesting that it may function in a cell-cycle-independent way. MX binds to chromatin to form a complex that inhibits the release of histone proteins, with an affinity to chromatin that is much higher than DNA [142]. Moreover, MX suppresses the topoisomerase II enzyme [143]. In HepG2 and Hep3B cell lines, MX stimulates the accumulation of apoptotic and tumor suppressor proteins, upregulates the levels of p53, p73, and p63, and induces cell apoptosis in a dose-and-time-dependent manner [144].

Zhang *et al.* designed MX-loaded dual-functional liposome (MX-LPG) with a synthetic polymer nano-biomaterial (Gal-P123) and evaluated the uptake and cytotoxicity of MX-LPG in hepatoma Huh-7 cells (Figure 9A) [145]. The *in vitro* cumulative MX release from different formulations indicated an absence of MX burst release suggesting that MX was stably



added to the aqueous core of the LPG. There was a significantly increased accumulation of 30-tetramethylindotricarbocyanine iodide (DiR) Gal-P123-modified liposome (DiR-LPG) in the liver compared to other formulations, demonstrating that LPG could selectively target the liver and hepatoma (Figure 9B). LPG prolonged the circulation time and increased the antitumor activity against BALB/c mice bearing orthotopic xenograft hepatoma of MX in MX-LPG. As shown in Figure 9C, MX-LPG (*i.v.*) showed the best tumor inhibition efficacy compared with the untargeted platform and free drugs. It is believed that LPG would provide a reliable basis for clinical treatment of hepatoma in the future. The group of Zhang conducted a multicenter randomized controlled phase II clinical trial using MX-loaded polybutylcyanoacrylate nanoparticles (DHAD-PBCA-NPs) to treat individuals with unresected hepatoma [146]. This study included 108 patients, 57 of whom received DHAD-PBCA-NPs therapy and 51 received MX therapy. The mean diameter of DHAD-PBCA-NPs was  $55.11 \pm 5.8$  nm, and the drug loading was 46.77%. After dilution with normal saline or 5% glucose, DHAD-PBCA-NPs and MX were intravenously injected into patients of treatment and control groups at a dose of 12 mg/m<sup>2</sup>, respectively. Both drugs were given every three weeks, and each patient should receive at least two cycles of treatment. The results demonstrated that all patients receiving MX injection died within a year (median survival period = 3.23 months), while five patients receiving MX-NPs survived for 16 – 19 months (median survival period = 5.46 months). This clinical trial showed that using nanoparticles as a delivery system enhanced the MX efficacy against hepatoma. The present results demonstrated that the MX-loaded nanoparticles had great potential in the treatment of unresected advanced hepatoma.

## Nanoformulations of molecular targeted drugs

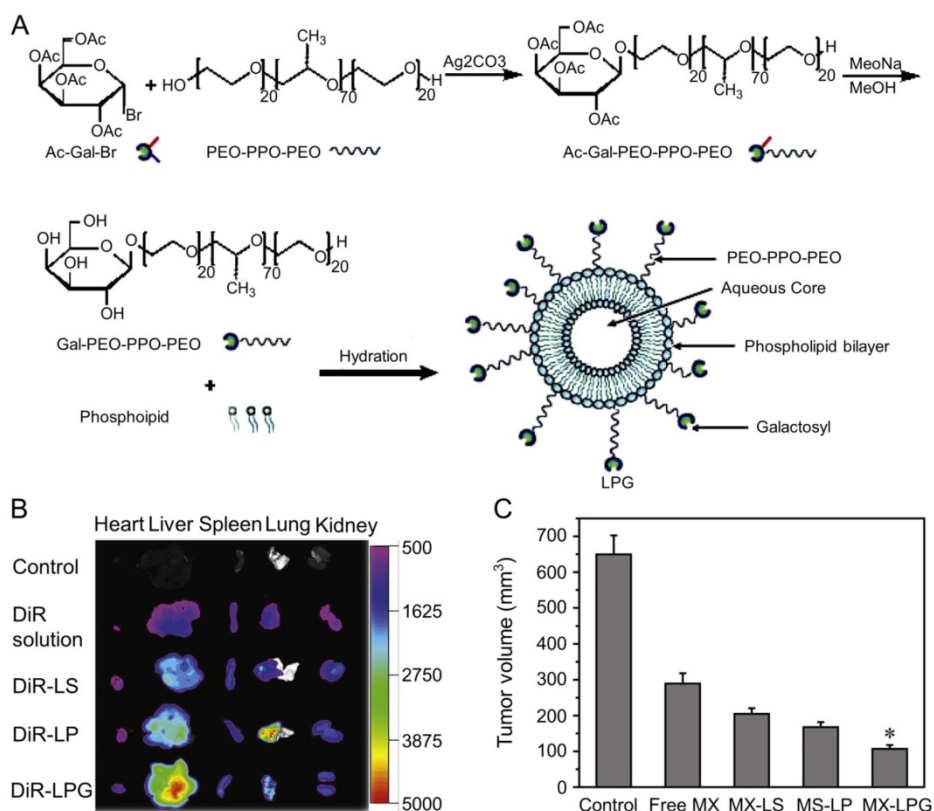
### Sorafenib-loaded nanosystems

SF is a kind of multikinase inhibitor and an effective therapeutic agent for advanced hepatoma, which is widely used in many experimental and clinical studies [147]. SF inhibits the activities of serine, threonine kinases Raf-1, and B-Raf, as well as the TK activities of PDGFR-II and VEGFR 1–3, thereby blocking RAS/RAF/MEK/ERK signaling pathways and inhibiting tumor cell proliferation [148]. SF can also interfere with the activities of multiple tyrosine, serine, and threonine kinases to induce apoptosis and inhibit tumor angiogenesis [149]. The main side effects of SF include nausea, diarrhea, arterial hypertension, rash, fatigue, and weight loss, the most common of which is diarrhea.

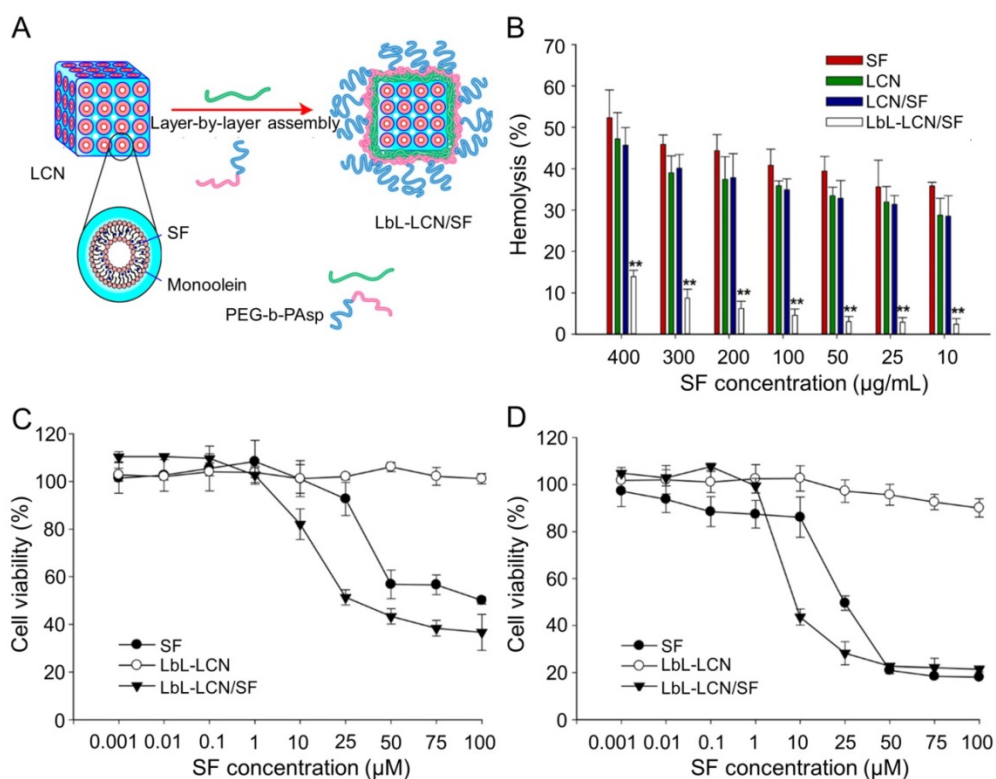
SF can effectively improve the survival time of patients with advanced hepatoma and has shown encouraging clinical effect in the treatment of hepatoma [150]. However, during clinical application, SF shows low tumor response rates in a majority of hepatoma patients and is beneficial to only about 30% of patients [151]. Moreover, for most patients who initially responded to SF, tumor refractory responses and progression would occur after a few months of SF therapy [152]. Therefore, it is necessary and urgent to find an approach to improve the therapeutic efficacy of SF on hepatoma.

Thapa *et al.* synthesized layer-by-layer polymer-assembled SF-loaded LCNs (LbL-LCN/SF) (Figure 10A) [153]. LbL-LCN/SF showed significantly reduced hemolysis compared with blank liquid crystalline nanoparticles (LCN) and SF-loaded LCNs (LCN/SF) (Figure 10B). In contrast, the *in vitro* cytotoxicity of LbL-LCN/SF toward HepG2 cells was remarkably enhanced compared to free SF and blank LbL-LCN (Figure 10C and D). The findings confirmed that the synthesized LbL-LCN/SF had an excellent antitumor effect with reduced toxicity.

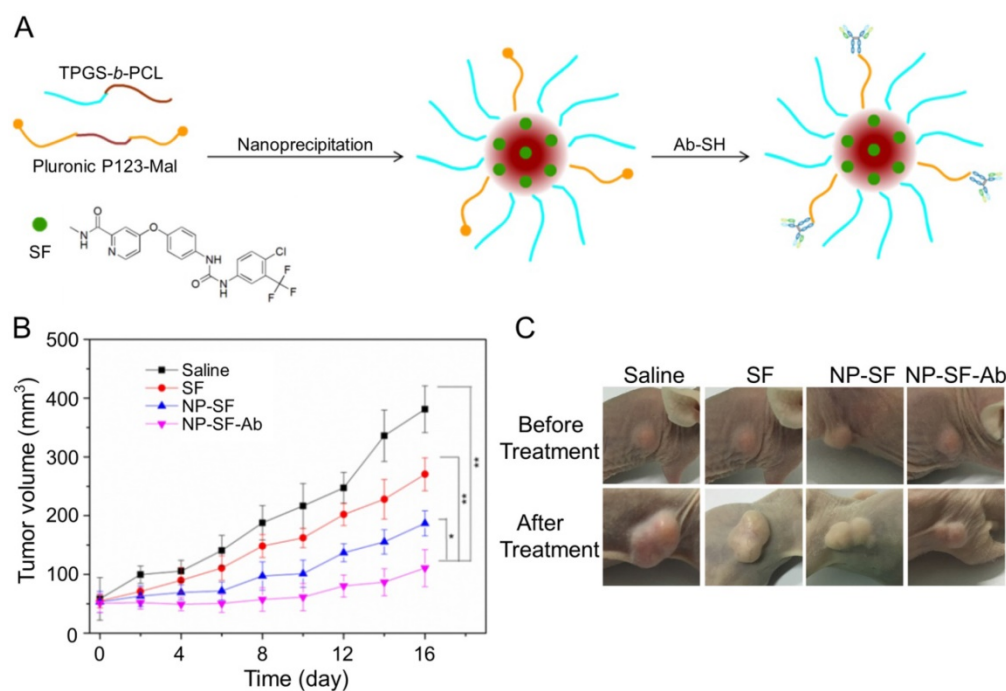
As shown in Figure 11A, Gan *et al.* engaged anti-GPC3 antibody to modify the interface of the SF-encapsulated nanoparticle with the matrices of biodegradable D- $\alpha$ -tocopheryl poly(ethylene glycol) 1000 succinate-*block*-poly( $\epsilon$ -caprolactone) (TGPS-*b*-PCL) and Pluronic P123 [154]. The SF-loaded anti-GPC3 antibody-modified nanoformulation (NP-SF-Ab) intravenously injected *via* the tail vein showed the best antitumor efficacy compared with free SF and untargeted NP-SF, and the human HepG2 hepatoma in the NP-SF-Ab group only grew to about 110 mm<sup>3</sup> 16 days post-treatment, as depicted in Figure 11B and C. It has been proved that SF can induce autophagy, which can cause hepatoma resistance to SF. MicroRNA-375 can inhibit autophagy in hepatoma by targeting autophagy-related gene ATG7. Zhao and coworkers prepared miR-375/Sf-LCC NPs by loading miR-375 and SF into lipid-coated calcium carbonate nanoparticles [155]. MiR-375/Sf-LCC NPs (*i.v.*) significantly inhibited autophagy and enhanced the antitumor effect of SF on HepG2 xenograft tumor mouse model. The synergistic effect of chemotherapeutic agent DOX and molecular targeted drug SF was evaluated by Duan and coworkers [156]. In this study, the SF-loaded NAcGal-DOX lipid nanoparticle (NAcGal-DOX/SF LNP) was intravenously injected into HepG2 hepatoma-bearing BALB/c mice, and it showed a higher antitumor efficiency than the single drug-loaded LNPs toward HepG2 hepatoma *in vitro* and *in vivo*. In addition, NAcGal-DOX/SF LNP demonstrated better tolerance and less toxicity than free drugs.



**Figure 9.** Preparation and fluorescence images of nanomaterials in different treatment groups [145]. (A) Schematic presentation of the synthesis of Gal-P123 and preparation of LPG-modified Gal-P123 modified LPG. (B) Fluorescence images of organs excised at 12 h post injection of DiR solution, DiR-labeled liposome (DiR-LS), DiR-labeled Pluronic P123 modified liposome (DiR-LP) and DiR-labeled Gal-P123 modified liposome (DiR-LPG). (C) Tumor volume of the mice. Copyright 2012. Reproduced with permission from Elsevier Ltd.



**Figure 10.** Preparation process of LbL-LCN/SF and safety comparison of different treatments [153]. (A) Schematic representation of fabrication process of LbL-LCN/SF. (B) Effects of free SF, LCN, LCN/SF, and LbL-LCN/SF on hemolytic toxicity. *In vitro* cytotoxicity of control (blank LbL-LCN), free SF, and LbL-LCN/SF on HepG2 cell lines following 24 h (C) and 72 h (D) incubation. Copyright 2015. Reproduced with permission from the American Chemical Society.



**Figure 11.** Preparation process of SF-loaded targeted polymeric nanoparticle (NP-SF-Ab) and tumor volume comparison of different formulations [154]. (A) Schematic representation of the NP-SF-Ab fabricated from SF, TPGS-*b*-PCL, and Pluronic P123-Mal by nanoprecipitation method followed with conjugating anti-GPC3 antibody. (B) Tumor volume changes after treatment with saline, SF, NP-SF, and NP-SF-Ab. (C) Tumor images of groups treated with saline, SF, NP-SF, and NP-SF-Ab before and after treatment at day 14. Copyright 2018. Reproduced with permission from Elsevier Ltd.

### Gefitinib-loaded nanoparticles

Gefitinib (GT) is an orally administered, reversible, and selective tyrosine kinase inhibitor (TKI) in the clinic, which inhibits tumor growth, angiogenesis, and distant metastasis, and increases tumor cell apoptosis through blocking adenosine triphosphate (ATP) from binding to epidermal growth factor receptor tyrosine kinase (EGFR-TK) activation [157]. GT was first approved for the treatment of metastatic and locally advanced non-small cell lung cancer (NSCLC) that had been previously treated with chemotherapy [158, 159]. Recently, GT was widely used for the treatment of hepatoma in the basic and clinical studies, while the drug-resistance after a period of treatment restricted the antitumor efficacy of GT toward malignancies, ending up with tumor progression by 6 – 15 months of therapy [160, 161]. The controlled delivery by polymer nanocarriers may reverse the GT resistance and prolong the progression-free survival of hepatoma. Zheng and coworkers developed the multidrug resistance 1 (MDR1) antibody-decorated chitosan nanoparticle for delivery of GT and autophagy inhibitor chloroquine (CQ) [162]. Chitosan nanoparticles were prepared by the method of ionic crosslinking. Chitosan was first dissolved in the glacial acetic acid solution, and then sodium polyphosphate was added in the mixed solution. Chitosan nanoparticles were formed by the combination of positively charged chitosan and

negatively charged polyphosphate. Finally, chitosan nanoparticles were modified with a monoclonal antibody against MDR1 (mAb MDR1) by electrostatic attraction and loaded with GT and CQ. GT/CQ mAb MDR1-NP showed their multi-targeted potential to achieve both selective tumor targeting and the expected antitumor effects by blocking MDR1 on the cell surface and inhibiting autophagy toward human SMMC-7721 hepatoma cells.

### Vandetanib-loaded nanoformulations

Vandetanib (VT) is another orally active small molecule multi-targeted TKI, which inhibits endothelial cell migration, proliferation, survival, and neovascularization through suppressing the tyrosine kinase receptors, including EGFR, VEGFR-2, and the rearranged during transfection tyrosine kinase receptor [163]. Currently, VT has been approved to treat unresectable, locally advanced, metastatic or progressive medullary thyroid cancer (MTC) in Europe and USA based on the appealing clinical results [164-166], which demonstrated the objective response rates of 16% [164] and 20% [166] and the stable disease rate of 53% [164, 166]. As reported, VT was demonstrated to exhibit excellent inhibition efficacy toward the xenograft human hepatoma mouse model with prolonged survival of tumor-bearing mice and inconspicuous serious adverse events [167]. The delivery with polymer nanoparticle will improve the selectivity and efficacy

of VT toward hepatoma therapy. Wang *et al.* explored the VT nanoformulation with the matrices of poly(ethylene glycol)-*block*-poly(D,L-lactic acid) (PEG-PLA) and iRGD-modified PEG-PLA, as shown in Figure 12A [168]. Mice bearing HCC BEL-7402 xenografts tumor were intravenously injected with VT-Loaded NPs every other day for 10 days. The iRGD, a tumor-homing and tumor-penetrating motif, functionalized nanoparticle loaded with VT (iNP-VT) inhibited the tumor growth by 60% in 16 days, which was more effective compared with other groups (Figure 12B and C). The results indicated that the polymer nanoformulations of molecular targeted drugs exhibited great potential in the molecular targeted therapy of hepatoma.

### Nanoformulations of other therapeutic agents

In addition to molecular targeted therapy and systemic chemotherapy, other treatments for advanced hepatoma include immunotherapy and locoregional therapy (such as ablation, arterially directed therapies and radiation therapy). In recent years, due to its safety and effectiveness, immunotherapy has become a potential method to treat many advanced malignant tumors, such as malignant melanoma, NSCLC and Hodgkin's lymphoma. CheckMate 040 trial, a phase I/II nonrandomized multi-institution trial, assessed the efficacy of nivolumab in the treatment of advanced hepatoma [169]. The trial included 214 patients in a dose-expansion phase and 48 patients in a dose-escalation phase. The results showed the objective response rates of patients in the dose-expansion phase and in the dose-escalation phase treated with nivolumab were 20% and 15%, respectively. The FDA has approved Nivolumab for the treatment of advanced hepatoma in 2017. Locoregional therapy is mainly used for advanced hepatoma and patients with hepatoma who cannot tolerate surgery. In recent years, hepatic artery

infusion chemotherapy (HAIC) has attracted much attention because of its high response rate and excellent long-term survival rate in the treatment of advanced hepatoma. A prospective, multicenter, phase II study conducted by Lyu and coworkers showed hepatic artery infusion (HAI) of oxaliplatin plus fluorouracil/leucovorin (FOLFOX) was effective and well tolerated for advanced hepatoma [170]. The 6-month and 12-month survival rates of patients receiving HAI of FOLFOX (HAIF) were 71.4% and 55.1%, respectively. Lyu's group further compared the efficacy of HAIF and SF for the treatment of advanced hepatoma in a retrospective study [171]. The results showed the median OS of the HAIF group (14.5 months) was significantly longer than that of the SF group (7.0 months). The efficacy of HAIC needs to be validated by more randomized controlled trials. Nanoformulations for immunotherapy [172], HAIC and other treatments are also under study, and we expect more types of nanoformulated drugs to be used in the treatment of hepatoma.

### Summary and perspectives

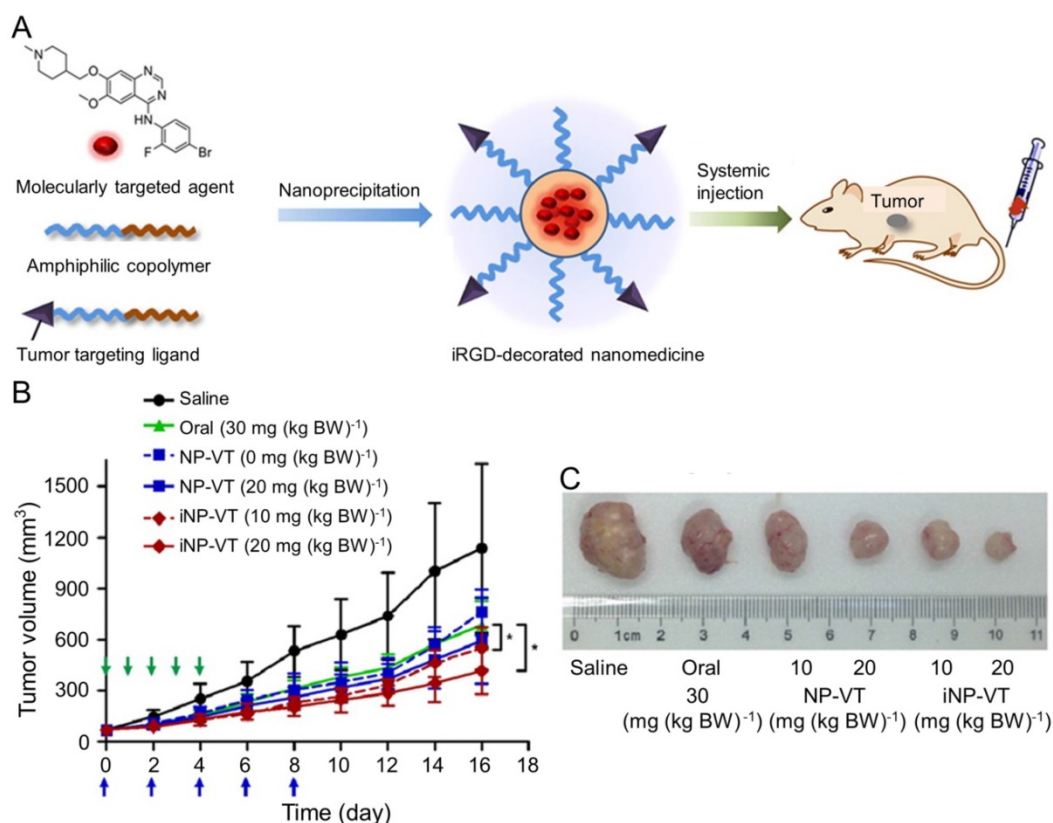
Hepatoma is one of the most severe malignant tumors with high mortality that severely endanger public health worldwide, especially in China. Although the therapeutic strategies for hepatoma based on surgical resection and molecular targeted therapy have been improved, the long-term survival rates of hepatoma patients are still not satisfactory. On the one hand, most hepatoma patients show hidden symptoms, which make it somewhat tricky for early diagnosis; On the other hand, hepatoma is characterized by a long incubation period followed by rapid tumor growth. Even after treatment, drug resistance, tumor recurrence, and tumor metastasis always lead to death. Therefore, it is an urgent challenge to achieve early diagnosis and effective therapy in the clinic.

**Table 3.** Features of nanocarriers in references.

Excipient	Nanoparticle	Model drug	Diameter or hydrodynamic radius ( $R_h$ ) (nm)	LC and EE (wt%)	Cell type	Therapeutic effect	Reference
PEG-PLA	Nanoparticle	BDNF	191 – 246	–	NIH 3T3 cells	The nanoformulation improved BDNF delivery throughout the brain and displayed a preferable regional distribution pattern. Furthermore, Nano-BDNF had superior neuroprotective effects in the mouse brain with lipopolysaccharides-induced inflammation.	[9]
PEG- <i>b</i> -TCL	Micelle	PZn3	110	–	HMEC, 4T1 cells	PEG- <i>b</i> -TCL-based micelles demonstrated favorable characteristics for further development for minimally invasive imaging of breast tumors.	[180]
PEG- <i>b</i> -PLLA/PDL A-CHOL	Micelle	DOX	84.1 – 107	–	A549 cells	The micelles possessed excellent abilities in drug release, cell internalization as well as proliferation inhibitory effect toward human A549 lung cancer cells.	[181]
PEG	Micelle	DOX	48.5 ± 8.8	–	HepG2 cells	CAD-PEG-CAD exhibited more efficient cellular uptake and potent cytotoxicity <i>in vitro</i> , as well as improved tissue distribution and superior tumor suppression <i>in vivo</i> . More importantly, the PEGylated DOX exhibited favorable	[14]

HES-CHO	Micelle	DOX	HESQDOX <sub>1,7</sub> : 73.4 ± 5.3; HESQDOX <sub>3,3</sub> : 63.9 ± 5.5; HESQDOX <sub>5,9</sub> : 51.9 ± 8.5;	LC: 5.4, EE: 68; LC: 9.9, EE: 66; LC: 16.5, EE: 59;	B16F10 cells	security <i>in vivo</i> . The HESQDOX micelles selectively released DOX in the endosome and/or lysosome after cellular uptake, and exhibited excellent proliferation inhibition. Furthermore, the antitumor efficacy was upregulated.	[182]
mPEG-P(LG-co- LC)	Nanogel	DOX	58.8 ± 2.9	LC: 16.1, EE: 96.7;	HepG2, H22 cells	NG/DOX showed excellent safety and great potential for on-demand delivery of antitumor drug.	[110]
Dex-O-DOX	Micelle	DOX	90 ± 14	LC: 9.98	HepG2, H22 cells	Dex-O-DOX exhibited higher antitumor activity and lower toxicity and exhibited great potential in the clinical chemotherapy of malignancy.	[111]
mPEG-P(LP-co- LC)	Nanogel	DOX	56.1 ± 3.5	LC: 10.2, EE: 56.8;	HepG2 cells	NG/DOX exhibited upregulated intratumoral accumulation and improved antitumor efficacy.	[96]
PEG-PPLG	Micelle	DOX	54	LC:9.53, EE:69.18;	HeLa cells	Cross-linked micelles were biocompatible, and DOX-loaded micelles showed higher cellular proliferation inhibition.	[183]
PEG-poly(amino acid)s	Nanogel	DOX	168 ± 7.9, 193 ± 4.8, 234 ± 4.1;	LC:2.86, EE:14.72; LC: 8.64, EE: 47.29; LC: 12.34, EE: 70.39;	HeLa cells	The reduction-responsive PEG poly(amino acid)s nanogels efficiently delivered antitumor drugs into tumor cells and inhibited cell proliferation, rendering highly promising for targeted intracellular delivery of operative chemotherapeutic drugs in tumor therapy.	[97]
mPEG- <i>b</i> -P(LGA- co-CELG)	Nanogel	DOX	49.2 ± 1.8, 4.1 ± 2.3;	LC: 10.7, EE: 60.2; LC: 13.2, EE: 75.8;	HepG2 cells	DOX-loaded nanogels exhibited enhanced antitumor efficacies and securities.	[98]
Copolyptide	Micelle	DOX	40.5 – 91.6	LC: 4.08 – 12.37, EE: 21.27 – 70.58;	HepG2, L929 cells	The nanomedicine retained much higher antitumor activity and possessed great promising for hepatoma-targeted chemotherapy.	[109]
Dex-DOX	Micelle	DOX	22.9 ± 4.2	–	B16F10 cells	The newly-constructed Dex-DOX promoted the pH-dependent drug release, highlight the cellular uptake efficiency, and strengthen the antitumor ability toward mouse B16F10 melanoma.	[107]
Dex-g-DOX	Micelle	DOX	102.0 ± 6.2	LC: 12, EE: 78.2;	–	Dex-g-DOX exhibited ultrasensitive accumulation in cancerous liver tissue and high antitumor efficacy.	[112]
Liposomes	Liposomes	DOX	90.97 ± 0.91	–	HepG2, Huh-7, PLC/PRF/5, Hep3B cells	Anti-CD147 ILs-DOX showed long circulation time, efficient accumulation in tumors and superior antitumor effects.	[21]
PLA	Nanoparticles	DOX	75.3 ± 9.6	EE: 88.77% ± 3.79%	HCC-LM3, BEL-7402, HL-7702, NCI-H1299	tNP-PLA-DOX showed long-term stability, high selectivity toward cancer cells alleviated drug toxicity.	[113]
Fib-graft-PNVCL	Nanogel	5-FU	110 ± 55	LC: 3.1, EE: 62	L929, MCF-7 cells	The multidrug loaded fib-graft-PNVCL NGs showed enhanced toxicity, apoptosis, and uptake by MCF-7 cells. The <i>in vivo</i> assessment showed sustained release of 5-FU, confirming the therapeutic efficiency of the formulation.	[100]
GC-FU	Nanoparticle	5-FU	163.2	LC: 21.25 ± 2.3	HepG2, A549 cells	GC-FU-NPs played great function in killing cancer cells for the cell endocytosis mediated by the asialoglycoprotein receptor. The drug-loaded nanoparticles had a much longer half-time and a long circulation effect than free 5-FU.	[116]
PLA-Cy7-SM5-1	Nanoparticle	5-FU	–	LC: 9.87 ± 0.58, EE: 8.97 ± 0.94;	HCC-LM3-fLuc cells	PLA-5FU-SM5-1 efficiently inhibited the tumor rapid progression.	[118]
SQ-gem/isoCA-4	Nanoparticle	GEM	142 ± 6	LC: 27.3	LS174-T, HUVECs cells	SQ-gem/isoCA-4 NAs displayed comparable antiproliferative and cytotoxic effects than free GEM.	[184]
GemSQ	Liposome	GEM	113 ± 24	–	L1210wt cells	The PEGylated liposomal formulation did not exhibit superior antitumor activity over the non-PEGylated liposomal formulation in the tumor model chosen.	[185]
CPDG/CHS- PEG1500	Nanoparticle	GEM	54.4 ± 0.73	–	H22 cells	The nanoassemblies had a much higher antitumor effect, and it will be promising nanomedicines to treat hepatoma.	[123]
Dual-functional liposome	Liposome	MX	100.57 ± 0.75	LC: 1.37 ± 0.10, EE: 97.33 ± 0.37;	Huh-7 cells	MX-LPG increased antitumor activity and improved selectivity in hepatoma tumors.	[145]
PLH-PEG-biotin	Nanoparticle	SF	181.4 ± 3.4	LC: 2.38 ± 0.04, EE: 95.02 ± 1.47;	HepG2, H22 cells	PTN showed a similar antitumor effect against HepG2 cells. <i>In vivo</i> antitumor studies, TPTN showed a significantly higher antitumor effect in H22 tumor-bearing mice.	[6]
LbL-LCN	Nanoparticle	SF	160.2 ± 1.1	LC: 1.2 – 2.5, EE: 47 – 100;	HepG2 cells	Higher cellular uptake and greater apoptotic effects of LbL-LCN/SF indicated superior antitumor effects.	[153]
Calcium carbonate	Nanoparticle	SF	100.7 ± 12.1	–	HepG2 cells	miR-375/Sf-LCC NPs exhibited pH-dependent drug release and potent cytotoxicity and showed greatly enhanced therapeutic efficacy.	[155]

+: available, -: not available.



**Figure 12.** Preparation of the iNP-VT nanoassemblies and inhibition of tumor growth [168]. (A) Schematic illustration showing the reformulation and self-assembly of VT into PEG-PLGA NPs. (B) Tumor growth curves of different groups. NP-VT and iNP-VT were *i.v.* injected on day 0, 2, 4, 6, and 8. The blue and green arrows represent the day on which the *i.v.* and *p.o.* injections were performed, respectively. (C) Representative images of BEL-7402 after 16 days of treatment. Copyright 2016. Reproduced with permission from the American Chemical Society.

In order to reveal the pathogenesis of hepatoma and to screen therapeutic agents, various animal hepatoma models have been established. Typically, various types of rodent models with distinct features have been successfully constructed. Each model has its pros and cons, and no model can genuinely reflect the full characteristics of human carcinogenesis. The sex, age, and genetic background of mice are fundamentally different from those of humans. When hepatoma occurs, the response of the human body to disease is different from that of rodents, so mice models do not fully develop the pathological process of human hepatoma. Considering the antitumor effect of certain drugs in hepatoma patients, the predictive value of these models is often less accurate or worse than expected. To credibly mimic the human carcinogenesis, the following rodent models are more attractive: (i) patient liver tumor tissues or cells inoculated hepatoma models, that is, patient-derived xenograft hepatoma models; (ii) genetically engineered hepatoma models with similar gene mutations to human patients; (iii) HBV or HCV induced hepatoma models in humanized mouse models; (iv) hepatoma models evolved from cirrhosis. With the development of rodent hepatoma models, we can more accurately

and efficiently reveal the pathogenesis mechanisms of hepatoma and evaluate the safety and antitumor efficacy of advanced formulations of cytostatic and molecular targeted drugs.

In recent years, the rise of nanotechnology has brought extensive opportunities for the hepatoma therapy. As a promising representative, the polymer nanoparticle-based nanoformulations of cytostatic and molecular targeted agents exhibit great potential in the effective therapy of hepatoma and even advanced hepatoma. The tailor-made polymer nanoparticles exhibit excellent biocompatibility, long blood circulation cycle, passive and active targeting, and controlled release of payload, so the polymer nanoformulations show better antitumor efficacy and fewer side effects compared with free therapeutic drugs.

Although some researches on the treatment of hepatoma with nanoformulations have achieved some exciting results, most of them are still limited to the level of cell or animal experiments. Few nanoformulations can be applied to human clinical trials, and their development still faces many challenges. Firstly, the genetic background, immune system and tumor development process of experimental animals are quite different from those of

humans, so the drug distribution and antitumor effect of nanoformulations may also be different. In order to fully evaluate the properties of nanoformulations, it is better to develop and use patient-derived xenograft models. Secondly, the side effects of nanoformulations and their uncertain long-term safety limit their clinical application. Currently, only a few ingredients (such as PEG and albumin) are considered safe for the human body. It is necessary to develop more effective methods to evaluate the safety of nanoformulations. In addition, the tumor microenvironment is very complex and closely related to the occurrence and metastasis of tumors. It is necessary to improve the permeability of nanoparticles and their tolerance to hypoxic and low-acid environments. Finally, the current prognosis of advanced hepatoma is still weak, and one treatment method often fails to achieve a satisfactory therapeutic effect, requiring a combination of multiple treatment methods. Some other treatments based on nanoparticles have been studied to treat hepatomas, such as immunotherapy, photothermal therapy [173], microwave ablation [174], microwave thermal therapy (MTT) and microwave dynamic therapy (MDT) [175]. For example, Fu and colleagues attempted to treat hepatoma with the combination of MDT and MTT using manganese-zirconium metal-organic framework nanospheres (Mn-ZrMOF NCs) [175]. The results showed that Mn-ZrMOF NCs could effectively inhibit the tumor growth of H22-bearing mice by improving thermal effects and producing ROS. Therefore, the combination therapy based on nanoparticles may provide a new effective method for the treatment of hepatoma.

To further improve the performance and to ultimately realize the clinical transformation of polymer formulations, the following aspects will be further developed: (i) the FDA-approved polymers will be used as the matrices of nanocarriers, such as polylactide (PLA), poly(lactic-co-glycolic acid) (PLGA), and polypeptides; (ii) the molecular targeted agents will be the preferred drugs for on-demand delivery; (iii) the *in situ* administrated formulations will be applied; (iv) the emerging nanotechnology such as supramolecular chemotherapy, DNA nanorobot, and tumor imprisonment will be further studied and utilized; (v) the molecular targeted agents will be combined with other effective management, including radiotherapy and immunotherapy. It is believed that with the continuous development and maturity of polymer nanoformulations, they will play an essential role in the targeted therapy of hepatoma.

## Abbreviations

5-FU: 5-fluorouracil; AFB: aflatoxin B; ALSV-A: avian leucosis sarcoma virus subgroup A; Anti-CD147

ILs-DOX: CD147-targeted doxorubicin-loaded immunoliposomes; ASGP-R: asialoglycoprotein receptor; ATP: adenosine triphosphate; CCl<sub>4</sub>: carbon tetrachloride; CDD: choline deficient diet; CDDP: cisplatin; CHL: chlorambucil; CHL-GEM: chlorambucil gemcitabine; CHSPEG1500: cholesteryl hemisuccinate PEG1500; CPDG: cyclic phosphoryl *N*-dodecanoyl GEM; CQ: chloroquine; CT: cabozantinib; DEN: *N*-nitrosodiethylamine; Dex: dextran; Dex-g-DOX: dextran-doxorubicin prodrug; Dex-O-DOX: dextran-doxorubicin conjugate; DHAD-PBCA-NPs: MX-loaded polybutylcyanoacrylate nanoparticles; DiR: 30-tetramethylindotricarbocyanine iodide; DiR-LPG: 30-tetramethylindotricarbocyanine iodide Gal-P123 modified liposome; DOX: doxorubicin; DSPE-PEG2000: 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-[methoxy poly(ethylene glycol) 2000]; EGFR: epidermal growth factor receptor; EGFR-TK: epidermal growth factor receptor tyrosine kinase; EPR: enhanced permeability and retention; FDA: Food and Drug Administration; FOLFOX: oxaliplatin plus fluorouracil/leucovorin; GC-FU: *N*-galactosylated-chitosan-5-FU; GEM: gemcitabine; GT: Gefitinib; HAI: hepatic artery infusion; HAIC: hepatic artery infusion chemotherapy; HAIF: HAI of FOLFOX; HBV: hepatitis B virus; HBx: hepatitis C virus; HGF: hepatocyte growth factor; IARC: International Agency for Research on Cancer; IC<sub>50</sub>: half-maximal inhibitory concentration; iNP-VT: the iRGD, a tumor-homing and tumor-penetrating motif, functionalized nanoparticle loading with VT; *i.p.*: intraperitoneal injection; *i.t.*: intratumoral injection; *i.v.*: intravenous injection; LbL-LCN/SF: layer-by-layer polymer-assembled sorafenib-loaded LCN; LCN: liquid crystalline nanoparticle; LC NCA: L-cystine *N*-carboxyanhydride; LCN/SF: sorafenib-loaded LCN; LP NCA: L-phenylalanine *N*-carboxyanhydride; LT: lenvatinib; mAb MDR1: antibody against MDR1; MDR1: multidrug resistance 1; MDT: microwave dynamic therapy; MET: mesenchymal-epithelial transition factor; Mn-ZrMOF NC: manganese-zirconium metal-organic framework nanosphere; mPEG-*b*-PCL: methoxy poly(ethylene glycol)-*block*-poly( $\epsilon$ -caprolactone); mPEG-P(LG-*co*-LC): methoxy poly(ethylene glycol)-poly(L-glutamic acid-*co*-L-cystine); mPEG-P(LP-*co*-LC): methoxy poly(ethylene glycol)-poly(L-phenylalanine-*co*-L-cystine); MPS: mononuclear macrophage system; MTC: medullary thyroid cancer; mTOR: mammalian target of rapamycin; MTT: microwave thermal therapy; MX: Mitoxantrone; MX-LPG: MX-loaded dual-functional liposome; NAcGal-DOX/SF LNP: sorafenib-loaded NAcGal-DOX lipid nanoparticle; NG/DOX: DOX-loaded nanogel; NP: nanoparticle; NP-SF-Ab:

SF-loaded anti-GPC3 antibody-modified nanoformulation; NSCLC: non-small cell lung cancer; OS: overall survival; PEG: poly(ethylene glycol); PEG-PLA: poly(ethylene glycol)-*block*-poly(D,L-lactic acid); PGLG-*b*-PLGA: DOX-loaded galactosylated polypeptide; PI3K: phosphoinositol 3-kinase; PLA-DOX: poly(lactic acid)-DOX; PLGA: poly(D,L-lactide-*co*-glycolide); PLGA-5FU-SM5-1: SM5-1-conjugated poly(D,L-lactide-*co*-glycolide) nanobubble containing 5-FU; PMLG-*b*-PLGA: galactose with oligo(ethylene glycol); PP: peroxisome proliferator; PPARs: peroxisome proliferator-activated receptors; RES: reticuloendothelial system; RET: rearranged during transfection; RF: regorafenib; RNA: ribonucleic acid; ROS: reactive oxygen species; SF: sorafenib; SV40: Simian Virus 40; TAA: Thioacetamide; TGPS-*b*-PCL: D- $\alpha$ -tocopheryl poly(ethylene glycol) 1000 succinate-*block*-poly( $\epsilon$ -caprolactone); TKI: tyrosine kinase inhibitor; TNs: tumor nodules; VEGFR: vascular endothelial growth factor receptor; WHV: woodchuck hepatitis virus.

## Acknowledgments

This study was financially supported by grants from the National Key Research and Development Program of China (Grant No. 2016YFC1100701), the National Natural Science Foundation of China (Grant Nos. 51873207, 51803006, 51673190, 51603204, 51673187, 51473165, and 51520105004), and the Science and Technology Development Program of Jilin Province (Grant Nos. 20190201068JC and 20160204015SF).

## Competing Interests

The authors have declared that no competing interest exists.

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